Data Analytics and Visualization Environment for xAPI and the Total Learning Architecture

DAVE Learning Analytics Algorithms

8 November 2019

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Data Analytics and Visualization Environment for xAPI and the Total Learning Architecture: DAVE Learning Analytics Algorithms

Yet Analytics, Inc. 201 E. Baltimore St., Ste. 630, Baltimore, MD 21202 USA November 8, 2019

Introduction

This report introduces a language for defining the functionality of learning analytics algorithms in terms of Operations, Primitives and Algorithms which will be used to define Algorithms corresponding to an initial set of learning analytics questions. Additional questions may be added to this set in the future. This document will be updated to include additional Operations, Primitives, and Algorithms as they are defined by the Author(s) of this report or by members of the Open Source Community. Updates may also address refinement of existing definitions, thus this document is subject to continuous change but those which are significant will be documented within the DAVE change log. Any changes made to this report or to the DAVE github repository should follow the conventions established in the Contributing Wiki Page. The formal definitions in this document are optimized for understandability and conceptual presentation meaning they are not presented as, or intended to be, the most computationally efficient definition possible. The formal definitions are intended to serve as referential documentation of methodologies and programmatic strategies for handling the processing of xAPI data.

The structure of this document is as follows:

- 1. An Introduction to Z notation and its usage in this document
- 2. A formal specification for xAPI written in Z
- 3. Terminology: Operations, Primitives and Algorithms
- 4. What is an Operation
- 5. What is a Primitive
- 6. What is an Algorithm
- 7. Foundational Operations
- 8. Common Primitives
- 9. Example Algorithm
 - (a) Init
 - (b) Relevant?
 - (c) Accept?
 - (d) Step
 - (e) Result

1 Z Notation Introduction

The following subsections provide a high level overview of select properties of Z Notation based on "The Z Notation: A Reference Manual" by J. M. Spivey. A copy of this reference manual can be found at dave/docs/z/Z-notation reference manual.pdf. In many cases, definitions will be pulled directly from the reference manual and when this occurs, the relevant page number(s) will be included. For a proper introduction with tutorial examples, see chapter 1, "Tutorial Introduction" from pages 1 to 23. For the LaTeX symbols used to write Z, see the reference document found at dave/docs/z/zed-csp-documentation.pdf.

1.1 Decorations

The following decorations are used throughout this document and are taken directly from the reference manual. For a complete summary of the Syntax of Z, see chapter 6, Syntax Summary, starting on page 142.

| / | [indicates final state of an operation] |
|----------|---|
| ? | [indicates input to an operation] |
| ! | [indicates output of an operation] |
| Δ | [indicates the schema results in a change to the state space] |
| Ξ | [indicates the schema does not result in a change to the state space] |
| >>> | [indicates output of the left schema is input to the right schema] |

1.2 Types

Objects have a type which characterizes them and distinguish them from other kinds of objects.

- Basic types are sets of objects which have no internal structure of interest meaning the concrete definition of the members is not relevant, only their shared type.
- Free types are used to describe (potentially nested and/or recursive) sets
 of objects. In the most simple case, a free type can be an enumeration of
 constants.

Within the xAPI Formal Specification, both of these types are used to describe the Inverse Functional Identifier property.

- Introduction of the basic types MBOX, $MBOX_SHA1SUM$, OPENID and ACCOUNT allows the specification to talk about these constraints within the xAPI specification without defining their exact structure
- The free type IFI is defined as one of the above basic types meaning an object of type IFI is of type MBOX or $MBOX_SHA1SUM$ or OPENID or ACCOUNT.

Types can be composed together to form composite types and thus complex objects.

```
[MBOX, MBOX\_SHA1SUM, OPENID, ACCOUNT] \\ IFI ::= MBOX \mid MBOX\_SHA1SUM \mid OPENID \mid ACCOUNT \\
```

Within the xAPI Formal Specification, IFI is used within the definition of an agent as presented in the schema Agent.

```
\begin{array}{c} Agent \\ agent : AGENT \\ objectType : OBJECTTYPE \\ name : \mathbb{F}_1 \ \# 1 \\ ifi : IFI \\ \\ \hline objectType = Agent \\ agent = \{ifi\} \cup \mathbb{P}\{name, objectType\} \end{array}
```

See section 2.2, pages 28 to 34, and chapter 3, pages 42 to 85, for more information about Schemas and the Z Language.

1.3 Sets

A collection of elements that all share a type. A set is characterized solely by which objects are members and which are not. Both the order and repetition of objects are ignored. Sets are written in one of two ways:

- listing their elements
- by a property which is characteristic of the elements of the set.

such that the following law from page 55 holds for some object y

$$y \in \{x_1, ..., x_n\} \iff y = x_1 \vee ... \vee y = x_n$$

1.4 Ordered Pairs

Two objects (x, y) where x is paired with y. An n-tuple is the pairing of n objects together such that equality between two n-tuple pairs is given by the law from page 55

$$(x_1, ..., x_n) = (y_1, ..., y_n) \iff x_1 = y_1 \land ... \land x_n = y_n$$

When ordered pairs are used with respect to application (as seen on page 60)

$$fx \Rightarrow f(x) \iff (x,y) \in f$$

which states that f(x) is defined if and only if there is a unique value y which result from fx Additionally, application associates to the left

$$fxy \Rightarrow (fx)y \Rightarrow (f(x), y)$$

meaning f(x) results in a function which is then applied to y.

1.5 Sequences

A collection of elements where their ordering matters such that

$$\langle a_1, ..., a_n \rangle \Rightarrow \{1 \mapsto a_1, ..., n \mapsto a_n\}$$

as seen on page 115. Additionally, iseq is used to describe a sequence whose members are distinct.

1.6 Bags

A collection of elements where the number of times an element appears in the collection is meaningful.

$$[a_1, ..., a_n] \Rightarrow \{a_1 \mapsto k_1, ..., k_n \mapsto k_n\}$$

As described on page 124, each element a_i appears k_i times in the list $a_1, ..., a_n$ such that the number of occurrences of a_i within bag A is returned by

$$count A a_i \equiv A \# a_i$$

1.7 Maps

This document introduces a named subcategory of sets, map of the free type KV, which are akin to sequences and bags. To enumerate the members of a map, $\langle\langle ... \rangle\rangle$ is used but should not be confused with $d_i \langle\langle E_i[T] \rangle\rangle$ within a Free Type definition. The distinction between the two usages is context dependent but in general, if $\langle\langle ... \rangle\rangle$ is used outside of a constructor declaration within a Free Type definition, it should be assumed to represent a map.

$$KV ::= base \mid associate \langle \langle KV \times X \times Y \rangle \rangle$$

where

base [is a constant which is the empty $KV \Rightarrow \langle \langle \rangle \rangle$] associate [is a constructor and is inferred to be an injection]

The full enumeration of all properties, constraints and functions specific to a map with type KV will be defined elsewhere but associate can be understood to (in the most basic case) operate as follows.

$$associate(base, x_i, y_i) = \langle \langle (x_i, y_i) \rangle \rangle \Rightarrow \langle \langle x_i \mapsto y_i \rangle \rangle$$

The enumeration of a map was chosen to be $\langle ... \rangle$ as a map is a collection of injections such that if M is the result of $associate(base, x_i, y_i)$ from above then

$$atKey(M, x_i) = y_i \iff x_i \mapsto y_i \land (x_i, y_i) \in M$$

1.8 Select Operations and Symbols

The follow are defined in Chapter 4 (The Mathematical Tool-kit) within the reference manual and are used extensively throughout this document. In many cases, the functions listed here will serve as Operations in the context of Primitives and Algorithms.

1.8.1 Functions

1.8.2 Ordered Pairs, Maplet and Composition of Relations

 $X \twoheadrightarrow Y == (X \twoheadrightarrow Y) \cap (X \rightarrow Y)$ $X \rightarrowtail Y == (X \twoheadrightarrow Y) \cap (X \rightarrowtail Y)$

```
first [returns the first element of an ordered pair, page 93] second [returns the second element of an ordered pair, page 93] \mapsto [maplet is a graphic way of expressing an ordered pair, page 95] dom [set of all x ∈ X related to at least one y ∈ Y by R, page 96] ran [set of all y ∈ Y related to at least one x ∈ X by R, page 96] [The composition of two relationships, page 97] \circ [The backward composition of two relationships, page 97]
```

```
[X,Y]
-\mapsto -: X \times Y \to X \times Y
\forall x : X; y : Y \bullet
x \mapsto y = (x,y)
```

```
[X, Y] = \frac{1}{\text{dom}: (X \leftrightarrow Y) \to \mathbb{P} X}
\text{ran}: (X \leftrightarrow Y) \to \mathbb{P} Y
\forall R: X \leftrightarrow Y \bullet
\text{dom } R = \{x: X; y: Y \mid x\underline{R}y \bullet x\} \land
\text{ran } R = \{x: X; y: Y \mid x\underline{R}y \bullet y\}
```

1.8.3 Numeric

succ [the next natural number, page 109]
.. [set of integers within a range, page 109]
[number of members of a set, page 111]
min [smallest number in a set of numbers, page 113]
max [largest number in a set of numbers, page 113]

```
succ: \mathbb{N} \to \mathbb{N}
\underline{\quad \dots : \mathbb{Z} \times \mathbb{Z} \to \mathbb{P} \mathbb{Z}}
\forall n: \mathbb{N} \bullet succ(n) = n+1
foralla, b: \mathbb{Z} \bullet
a..b = \{ k: \mathbb{Z} \mid a \leq k \leq b \}
```

```
\begin{split} & \min: \mathbb{P}_1 \, \mathbb{Z} \to \mathbb{Z} \\ & \max: \mathbb{P}_1 \, \mathbb{Z} \to \mathbb{Z} \\ \\ & \min = \{ S: \mathbb{P}_1 \, \mathbb{Z}; m: \mathbb{Z} \, | \\ & m \in S \, \wedge \, (\forall n: S \bullet m \leq n) \bullet S \mapsto m \} \\ & \max = \{ S: \mathbb{P}_1 \, \mathbb{Z}; m: \mathbb{Z} \, | \\ & m \in S \, \wedge \, (\forall n: S \bullet m \geq n) \bullet S \mapsto m \} \end{split}
```

1.8.4 Sequences

```
[concatenation of two sequences, page 116]
                                             [reverse a sequence, page 116]
rev
                                     [first element of a sequence, page 117]
head
last
                                     [last element of a sequence, page 117]
                 [all elements of a sequence except for the first, page 117]
tail
front
                  [all elements of a sequence except for the last, page 117]
          [sub seq based on provided indices, order maintained, page 118]
       [sub seq based on provided condition, order maintained, page 118]
squash
             [compacts a fn of positive integers into a sequence, page 118]
^{\prime}
                              [flatten seq of seqs into single seq, page 121]
disjoint
                [pairs of sets in family have empty intersection, page 122]
partition
                      [union of all pairs of sets = the family set, page 122]
```

```
[X] = [X]
- ^ - : \operatorname{seq} X \times \operatorname{seq} X \to \operatorname{seq} X
rev : \operatorname{seq} X \to \operatorname{seq} X
\forall s, t : \operatorname{seq} X \bullet
s ^ t = s \cup \{ n : \operatorname{dom} t \bullet n + \#s \mapsto t(n) \}
\forall s : \operatorname{seq} X \bullet
revs = (\lambda n : \operatorname{dom} s \bullet s(\#s - n + 1))
```

```
 \begin{array}{l} = [X] \\ head, last : \operatorname{seq}_1 X \to X \\ tail, front : \operatorname{seq}_1 X \to \operatorname{seq} X \\ \hline \forall s : \operatorname{seq}_1 X \bullet \\ head \, s = s(1) \land \\ last \, s = s(\#s) \land \\ tail \, s = (\lambda \, n : 1 \dots \#s - 1 \bullet s(n+1)) \land \\ front \, s = (1 \dots \#s - 1) \lhd s \end{array}
```

1.8.5 Bags

```
[X] = \underbrace{count : bag X \rightarrowtail (X \to \mathbb{N})}_{count} : bag X \rightarrowtail (X \to \mathbb{N})
-\#_- : bag X \times X \to \mathbb{N}
-\otimes_- : \mathbb{N} \times bag X \to bag X
\forall B : bag X \bullet
count B = (\lambda x : X \bullet 0) \oplus B
\forall x : X; B : bag x \bullet
B \# x = count B x
\forall n : \mathbb{N}; B : bag X; x : X \bullet
(n \otimes B) \# x = n * (B \# x)
```

```
[X] = \underbrace{items : \operatorname{seq} X \to \operatorname{bag} X}
\forall s : \operatorname{seq} X; x : X \bullet 
(items s) \# x = \# \{ i : \operatorname{dom} s \, | \, s(i) = x \}
```

2 xAPI Formal Specification

The current formal specification only defines xAPI statements abstractly within the context of Z. A concrete definition for xAPI statements is outside the scope of this document.

2.1 Basic and Free Types

 $[MBOX, MBOX_SHA1SUM, OPENID, ACCOUNT]$

• Basic Types for the abstract representation of the different forms of Inverse Functional Identifiers found in xAPI

[CHOICES, SCALE, SOURCE, TARGET, STEPS]

 Basic Types for the abstract representation of the different forms of Interaction Components found in xAPI

 $IFI ::= MBOX \mid MBOX_SHA1SUM \mid OPENID \mid ACCOUNT$

• Free Type unique to Agents and Groups, The concrete definition of the listed Basic Types is outside the scope of this specification

 $OBJECTTYPE ::= Agent \mid Group \mid SubStatement \mid StatementRef \mid Activity$

A type which can be present in all activities as defined by the xAPI specification

 $INTERACTIONTYPE ::= true-false \mid choice \mid fill-in \mid long-fill-in \mid matching \mid performance \mid sequencing \mid likert \mid numeric \mid other$

• A type which represents the possible interaction Types as defined within the xAPI specification

 $INTERACTION COMPONENT ::= CHOICES \,|\, SCALE \,|\, SOURCE \,|\, TARGET \,|\, STEPS$

- \bullet A type which represents the possible interaction components as defined within the xAPI specification
- the concrete definition of the listed Basic Types is outside the scope of this specification

 $CONTEXTTYPES ::= parent \mid grouping \mid category \mid other$

• A type which represents the possible context types as defined within the xAPI specification

[STATEMENT]

• Basic type for an xAPI data point

[AGENT, GROUP]

• Basic types for Agents and collections of Agents

2.2 Id Schema

• the schema *Id* introduces the component *id* which is a non-empty, finite set of 1 value

2.3 Schemas for Agents, Groups and Actors

```
\begin{array}{c} Agent \\ agent : AGENT \\ objectType : OBJECTTYPE \\ name : \mathbb{F}_1 \# 1 \\ ifi : IFI \\ \\ objectType = Agent \\ agent = \{ifi\} \cup \mathbb{P}\{name, objectType\} \end{array}
```

• The schema *Agent* introduces the component *agent* which is a set consisting of an *ifi* and optionally an *objectType* and/or *name*

```
Member = Agent
member : \mathbb{F}_1
member = \{a : AGENT \mid \forall a_n : a_i...a_j \bullet i \leq n \leq j \bullet a = agent\}
```

• The schema Member introduces the component member which is a set of objects a, where for every a within $a_0...a_n$, a is an agent

```
Group = Group = GROUP
objectType : OBJECTTYPE
ifi : IFI
name : \mathbb{F}_1 \# 1
objectType = Group
group = \{objectType, name, member\} \lor \{objectType, member\} \lor
\{objectType, ifi\} \cup \mathbb{F}\{name, member\}
```

• The schema *Group* introduces the component *group* which is of type GROUP and is a set of either objectType and member with optionally name or objectType and ifi with optionally name and/or member

```
Actor \\ Agent \\ Group \\ actor : AGENT \lor GROUP \\ \\ actor = agent \lor group
```

• The schema *Actor* introduces the component *actor* which is either an *agent* or *group*

2.4 Verb Schema

```
Verb \_ \\ Id \\ display, verb : \mathbb{F}_1 \\ verb = \{id, display\} \lor \{id\}
```

• The schema *Verb* introduces the component *verb* which is a set that consists of either *id* and the non-empty, finite set *display* or just *id*

2.5 Object Schema

- The schema Extensions introduces the component extensions which is a non-empty, finite set that consists of ordered pairs of extensionId and extensionVal. Different extensionIds can have the same extensionVal but there can not be two identical extensionId values
- extension Id is a non-empty, finite set with one value
- extensionVal is a non-empty, finite set

• The schema InteractionActivity introduces the component interactionActivity which is a set of either interactionType and correctResponsePattern or interactionType and correctResponsePattern and interactionComponent

```
\begin{tabular}{l} \hline Definition $\_$ \\ \hline Interaction Activity \\ Extensions \\ definition, name, description : $\mathbb{F}_1$ \\ type, more Info : $\mathbb{F}_1$ #1 \\ \hline \\ definition = $\mathbb{P}_1$ {name, description, type, more Info, extensions, interaction Activity} $\}
```

• The schema *Definition* introduces the component *definition* which is the non-empty, finite power set of *name*, *description*, *type*, *moreInfo* and *extensions*

```
.Object\_
Id
Definition
Agent
Group
Statement
objectTypeA, objectTypeS, objectTypeSub, objectType: OBJECTTYPE
substatement: STATEMENT\\
object: \mathbb{F}_1
substatement = statement \\
objectTypeA = Activity
objectTypeS = StatementRef
objectTypeSub = SubStatement
objectType = objectTypeA \lor objectTypeS
object = \{id\} \lor \{id, objectType\} \lor \{id, objectTypeA, definition\}
         \vee \{id, definition\} \vee \{agent\} \vee \{group\} \vee \{objectTypeSub, substatement\}
         \vee \{id, objectTypeA\}
```

- The schema Object introduces the component object which is a non-empty, finite set of either id, id and objectType, id and objectTypeA, id and objectTypeA and definition, agent, group, or substatement
- \bullet The schema Statement and the corresponding component statement will be defined later on in this specification

2.6 Result Schema

```
Score = Score : \mathbb{F}_1
scaled, min, max, raw : \mathbb{Z}
scaled = \{n : \mathbb{Z} \mid -1.0 \le n \le 1.0\}
min = n < max
max = n > min
raw = \{n : \mathbb{Z} \mid min \le n \le max\}
score = \mathbb{P}_1 \{scaled, raw, min, max\}
```

• The schema *Score* introduces the component *score* which is the non-empty powerset of min, max, raw and scaled

• The schema Result introduces the component result which is the nonempty power set of score, success, completion, response, duration and extensions

2.7 Context Schema

```
Instructor Agent Group instructor: AGENT \lor GROUP instructor = agent \lor group
```

• The schema *Instructor* introduces the component *instructor* which can be either an *agent* or a *group*

```
Team
Group
team: GROUP
team = group
```

• The schema Team introduces the component team which is a group

```
Context
Instructor
Team
Object
Extensions
registration, revision, platform, language: \mathbb{F}_1 \, \# 1
parentT, groupingT, categoryT, otherT: CONTEXTTYPES
contextActivities, statement: \mathbb{F}_1
statement = object \setminus (id, objectType, agent, group, definition)
parentT = parent
groupingT = grouping
categoryT = category
other T=other \\
contextActivity = \{ca: object \setminus (agent, group, objectType, objectTypeSub, substatement)\}
contextActivityParent = (parentT, contextActivity)
contextActivityCategory = (categoryT, contextActivity)
contextActivityGrouping = (groupingT, contextActivity)
contextActivityOther = (otherT, contextActivity)
contextActivities = \mathbb{P}_1 \{ contextActivityParent, contextActivityCategory, \}
                        contextActivityGrouping, contextActivityOther\}
context = \mathbb{P}_1 \{ registration, instructor, team, contextActivities, revision, \}
              platform, language, statement, extensions}
```

- The schema Context introduces the component context which is the nonempty powerset of registration, instructor, team, contextActivities, revision, platform, language, statement and extensions
- \bullet The notation $object \setminus agent$ represents the component object except for its subcomponent agent

2.8 Timestamp and Stored Schema

```
Timestamp \\ timestamp : \mathbb{F}_1 \# 1 Stored \\ stored : \mathbb{F}_1 \# 1
```

• The schema *Timestamp* and *stored* introduce the components *timestamp* and *stored* respectively. Each are non-empty, finite sets containing one value

2.9 Attachments Schema

```
Attachments \_ \\ display, description, attachment, attachments : \mathbb{F}_1 \\ usageType, sha2, fileUrl, contentType : \mathbb{F}_1 \# 1 \\ length : \mathbb{N} \\ \\ attachment = \{usageType, display, contentType, length, sha2\} \cup \mathbb{P}\{description, fileUrl\} \\ attachments = \{a : attachment\}
```

- The schema *Attachments* introduces the component *attachments* which is a non-empty, finite set of the component *attachment*
- The component attachment is a non-empty, finite set of the components usageType, display, contentType, length, sha2 with optionally description and/or fileUrl

2.10 Statement and Statements Schema

```
Statement \\ Id \\ Actor \\ Verb \\ Object \\ Result \\ Context \\ Timestamp \\ Stored \\ Attachments \\ statement : STATEMENT \\ \\ statement = \{actor, verb, object, stored\} \cup \\ \mathbb{P}\{\mathrm{id}, result, context, timestamp, attachments\}
```

- The schema Statement introduces the component statement which consists of the components actor, verb, object and stored and the optional components id, result, context, timestamp, and/or attachments
- ullet The schema Statement allows for subcomponent of statement to referenced via the . (selection) operator

```
Statements \\ IsoToUnix \\ statements : \mathbb{F}_1 statements = \{s : statement | \forall s_n : s_i...s_j \bullet i \leq n \leq j \\ \bullet convert(s_i.timestamp) \leq convert(s_j.timestamp) \}
```

• The schema Statements introduces the component statements which is a non-empty, finite set of the component statement which are in chronological order.

3 Operations, Primitives and Algorithms

The following sections introduce, define and explain Operations, Primitives and Algorithms generally using the Terminology presented below. Operations are the building blocks of Primitives whereas Primitives are the building blocks of Algorithms. The definitions which follow are flexible enough to support implementation across programing languages but have been inspired by the core concepts found within Lisp and Z. The focus of these sections is to define the properties of and interactions between Operations, Primitives and Algorithms in a general way which doesn't place unnecessary bounds on their range of possible functionality with respect to processing xAPI data.

3.1 Terminology

Within this document, (s) indicates one or more.

3.1.1 Scalar

When working with xAPI data, Statements are written using JavaScript Object Notation (JSON). This data model supports a few fundamental types as described by JSON Schema. In order to speak about a singular valid JSON value (string, number, boolean, null) generically, the term Scalar is used. To talk about a scalar within a Z Schema, the following free and basic types are introduced.

```
\begin{split} [STRING, NULL] \\ Boolean :== true \, | \, false \\ Scalar :== Boolean \, | \, STRING \, | \, NULL \, | \, \mathbb{Z} \end{split}
```

Arrays and Objects are also valid JSON values but will be referenced using the terms Collection and Map \vee KV respectively.

3.1.2 Collection

a sequence $\langle ... \rangle$ of items c such that each $c : \mathbb{N} \times V \Rightarrow (\mathbb{N}, V) \Rightarrow \mathbb{N} \mapsto V$

$$C: Collection$$

$$C = \langle c_i...c_n...c_j \rangle \Rightarrow \{i \mapsto c_i, n \mapsto c_n, j \mapsto c_j\} \bullet i \leq n \leq j \Rightarrow i \prec n \prec j \iff i \neq n \neq j$$

And the following free type is introduced for collections

```
Collection :== emptyColl \mid append \langle \langle Collection \times Scalar \vee Collection \vee KV \times \mathbb{N} \rangle \\ emptyColl \qquad \qquad [\text{the empty Collection } \langle \rangle] \\ append \qquad \qquad [\text{is a constructor and is inferred to be an injection}] \\ KV \qquad \qquad [\text{a free type introduced bellow}] \\ append(emptyColl, c?, 0) = \langle c_0 \rangle \Rightarrow \{0 \mapsto c?\} \qquad [append \text{ adds } c? \text{ to } \langle \rangle \text{ at } \mathbb{N}]
```

3.1.3 Key

An identifier k paired with some value v to create an ordered pair (k, v). k can take on any valid JSON value (Scalar, Collection, KV) except for the Scalar null. The following free type is introduced for keys.

$$K ::= (Scalar \setminus NULL) \mid Collection \mid KV$$

3.1.4 Value

A value v is paired with an identifier k to create an ordered pair (k, v). v can be any valid JSON value (Scalar, Collection, KV) The following free type is introduced for values.

$$V ::= Scalar \mid Collection \mid KV$$

3.1.5 Map

Within the Z Notation Introduction section, Maps are introduced using the free type KV.

$$KV ::= base \mid associate \langle \langle KV \times X \times Y \rangle \rangle$$

This definition is more accurately

$$KV ::= base \mid associate \langle \langle KV \times K \times V \rangle \rangle$$

which indicates the usage of Key k and Value v within associate. Using this updated definition,

$$associate(base, k, v) = \langle \langle (k, v) \rangle \rangle$$

such that a Map is a Collection of ordered pairs (k_n, v_n) and thus a Collection of mappings

$$(k_n, v_n) \Rightarrow k_n \mapsto v_n$$

but Maps are special cases of Collections as k_n is the unique identifier of v_n within a Map but the opposite is not true. In fact, keys are their own identifiers

$$id v_n = k_n$$

 $id k_n \neq v_n$
 $id k_n = k_n$

Given a Map $M = \langle \langle (k_i, v_i) ... (k_n, v_n) ... (k_j, v_j) \rangle \rangle$ the following demonstrates the uniqueness of Keys but the same is not true for all v within M

$$k_i \neq k_n \neq k_j$$

$$v_i = v_n \lor v_i \neq v_n \ v_i = v_j \lor v_i \neq v_j \ v_j = v_n \lor v_j \neq v_n$$

which can all be stated formally as

```
= [K, V] = Map : K \times V \implies KV
Map = \langle \langle (k_i, v_i) ... (k_n, v_n) ... (k_j, v_j) \rangle \rangle \bullet
dom Map = \{ k_i ... k_n ... k_j \}
ran Map = \{ v_i ... v_n ... v_j \}
first(k_i, v_i) \neq first(k_n, v_n) \neq first(k_j, v_j) \wedge
v_i = v_n \vee v_i \neq v_n \ v_i = v_j \vee v_i \neq v_j \ v_j = v_n \vee v_j \neq v_n \wedge
id \ v_i = k_i \wedge id \ v_n = k_n \wedge id \ v_j = k_j \wedge
id \ k_i = k_i \wedge id \ k_n = k_n \wedge id \ k_j = k_j
```

Given that v can be a Map M, or a Collection C, Arbitrary nesting is allowed within Maps but the properties of a Map hold at any depth.

```
M = \langle \langle (k_i, v_i) ... (k_n, \langle \langle (k_{ni}, v_{ni}) \rangle \rangle) ... (k_i, \langle v_{ii} ... \langle \langle (k_{in}, v_{in}) \rangle \rangle ... \langle v_{iji} ... v_{iji} ... v_{iji} \rangle \rangle) \rangle
```

such that $\langle \langle (k_{ni}, v_{ni}) \rangle \rangle$ and $\langle \langle (k_{nj}, v_{nj}) \rangle \rangle$ are both Maps and adhere to the constraints enumerated above.

3.1.6 Statement

Immutable Map conforming to the xAPI Specification as described in the xAPI Formal Definition section of this document. The immutability of a Statement s is demonstrated by the following which indicates that s was not altered when passed to associate.

```
\begin{array}{c} s!\,,s?\colon STATEMENT\\ k?\colon K\\ v?\colon V\\ \hline\\ s!=associate(s?\,,k?\,,v?\,)=s?\Rightarrow (k?\,,v?\,)\not\in s!\Rightarrow s!=s? \end{array}
```

Additionally, given the schema *Statements* the following is true for all *Statement(s)*

```
Statements \\ Keys: STRING \\ S: Collection \\ Keys = \{id, actor, verb, object, result, context, attachments, timestamp, stored\} \\ dom statement = K \lhd Keys \\ S = \langle statement_i ... statement_n ... statement_j \rangle \bullet \\ atKey(statement_i, id) \neq atKey(statement_n, id) \neq atKey(statement_j, id) \Rightarrow id_i \neq id_n \neq id_j \iff statement_i \neq statement_n \neq statement_j
```

Which confirms the constraints found in the schema *Statement* and adds an additional constraint to *Statements* such that every unique *Statement* in a *Collection* of *Statements* has a unique *id*.

3.1.7 Algorithm State

Mutable Map state without any domain restriction such that

```
state?, state!: KV
k?: K
v?: V
associate(state?, k?, v?) = state! \bullet (k, v) \in state! \Rightarrow state? \neq state!
```

3.1.8 Option

Mutable Map opt which is used to alter the result of an Algorithm. The effect of opt on an Algorithm will be discussed in the Algorithm Result section bellow.

4 Operation

An Operation is a function of arbitrary arguments and is defined using Z. For example, Operations pulled directly from "The Z Notation: A Reference Manual" include

- \bullet first
- \bullet second
- \bullet succ
- min
- max
- $\bullet \ count \equiv \#$
- _ ^
- \bullet rev
- \bullet head
- \bullet last
- \bullet tail
- \bullet front
- 1
- |
- ^/
- disjoint
- partition
- 🛇
- 🖽
- ⊎
- ullet items

4.1 Domain

The arguments passed to an Operation can be any of the following but the definition of an Operation may limit the domain to a subset of the following

- Key(s)
- Value(s)
- Set(s)
- Collection(s)
- Bag(s)
- KV(s)
- Statement(s)
- Algorithm State

4.2 Range

The result of an Operation can be any of the following but the definition of an Operation may limit this range to a subset of the following

- Key(s)
- Value(s)
- Set(s)
- Collection(s)
- Bag(s)
- KV(s)
- Statement(s)
- Algorithm State

5 Primitive

Primitives break the processing of xAPI data down into discrete units that can be composed to create new analytical functions. Primitives allow users to address the methodology of answering research questions as a sequence of generic algorithmic steps which establish the necessary data transformations, aggregations and calculations required to reach the solution in an implementation agnostic way.

Within this document, they will be defined as a Collection of Operations and/or Primitives where the output is piped from member to member. In this section, o_n and p_n can be used as to describe Primitive members but for simplicity, only o_n will be used.

$$p_{\langle i \dots n \dots j \rangle} = o_i \gg o_n \gg o_j$$

Within any given Primitive p, variables local to p and any global variables may be passed as arguments to any member of p and there is no restriction on the ordering of arguments with respect to the piping. In the following, q? is a global variable whereas the rest are local.

```
 \begin{aligned} &x?, y?, z?, i!, n!, j!, p! : Value \\ &o_i : Value \rightarrow Value \\ &o_n : Value \times Value \rightarrow Value \\ &o_j, p : Value \times Value \times Value \rightarrow Value \\ \hline &i! = o_i(x?) \\ &n! = o_n(i!, y?) \\ &j! = o_j(z?, n!, q?) \\ &p! = j! \Rightarrow o_j(z?, o_n(o_i(x?), y?), q?) \end{aligned}
```

In the rest of this document, the following notation is used to distinguish between the functionality of a Primitive and its composition. This notation should be used when defining Primitives.

- The top line indicates the Primitive
 - should be written using postfix notation within other schemas
 - is at least a partial function from some input to some output
- The bottom line is an enumeration of the composing Operations and/or Primitives and their order of execution

This means the definition of p from above can be updated as follows.

```
 \begin{array}{|c|c|} \hline p_-: Value \times Value \times Value \rightarrow Value \\ \hline p_-: Value \times Value \times Value \rightarrow Value \\ \hline p_-: Value \times Value \times Value \rightarrow Value \\ \hline p_-: Value \times Value \times Value \rightarrow Value \\ \hline p_-: Value \times Value \times Value \rightarrow Value \\ \hline p_-: Value \times Value \times Value \rightarrow Value \\ \hline p_-: Value \times Value \times Value \rightarrow Value \\ \hline p_-: Value \times Value \times Value \rightarrow Value \\ \hline p_-: Value \times Value \times Value \rightarrow Value \\ \hline p_-: Value \times Value \times Value \rightarrow Value \\ \hline p_-: Value \times Value \times Value \rightarrow Value \\ \hline p_-: Value \rightarrow Value \rightarrow Value \rightarrow Value \\ \hline p_-: Value \rightarrow Value \rightarrow Value \\ \hline p_-: Value \rightarrow Value \rightarrow Value \rightarrow Value \\ \hline p_-: V
```

Additionally, this notation supports declaration of recursive iteration via the presence of $recur_{-}$ within a Primitive chain

```
primitiveName_i = \langle \langle primitiveName_{ii} \_, primitiveName_{in} \_ \rangle, recur\_ \rangle^{\#-}
\langle\langle primitiveName_{ii}\_, primitiveName_{in}\_\rangle, recur\_\rangle^{\#-} \Rightarrow
            (primtiveName_{ii} \gg primitiveName_{in})^{\#} - \bullet
                 \forall n: i... j \bullet j = \#\_ \land i \leq n \leq j \mid \exists_1 p_n: \_ \rightarrow \bot \_ \rightarrow \_ \bullet
                        let p_i == primtiveName_{ii} \gg primitiveName_{in} \Rightarrow
                                   p_{i} = primitiveName_{in}(primitiveName_{ii})
                             p_n == p_i \gg primtiveName_{ii} \gg primitiveName_{in} \Rightarrow
                                   p_n = primitiveName_{in}(primitiveName_{ii}(p_{i-}))
                             p_j == p_n \gg primtiveName_{ii} \gg primitiveName_{in} \Rightarrow
                                   p_{j-} = primitiveName_{in}(primitiveName_{ii}(p_{n-}))
                 p_i = (primtiveName_{ii} \gg primitiveName_{in})^{\#-} \bullet j = 3 \Rightarrow
                              (primtiveName_{ii} \gg primitiveName_{in}) \gg
                              (primtiveName_{ii} \gg primitiveName_{in}) \gg
                              (primtiveName_{ii} \gg primitiveName_{in}) \Rightarrow
                                   primitiveName_{in}(
                                         primitiveName_{ii}
                                               primitiveName_{in}(
                                                     primitiveName_{ii}(p_{i-1})))
```

Here, p_i was chosen to only be two primitives $primitiveName_{ii} \land primitiveName_{in}$ for simplicity sake. The Primitive chain can be of arbitrary length. The number of iterations is described using the count operation #. Above j=3 was used to demonstrate the piping between iterations but j is not exclusively =3. Given above, the term Primitive Chain can be defined as:

```
(primtiveName_i \gg primitiveName_n \gg primitiveName_j)^{\#-} \bullet
\#_- = 0 \Rightarrow primtiveName_i \gg primitiveName_n \gg primitiveName_j
```

where a Primitive chain iterated to the 0 is just the chain itself hence recursion is not a requirement of, but is supported within, the definition of Primitives.

5.1 Domain

Any of the following dependent upon the Operations which compose the Primitive

- Key(s)
- Value(s)

- Set(s)
- Collection(s)
- Bag(s)
- KV(s)
- Statement(s)
- \bullet Algorithm State

5.2 Range

Any of the following dependent upon the Domain and Functionality of the Primitive

- Key(s)
- Value(s)
- Set(s)
- Collection(s)
- Bag(s)
- KV(s)
- Statement(s)
- Algorithm State

6 Algorithm

Given a Collection of statement(s) $S_{\langle a..b..c\rangle}$ and potentially option(s) opt and potentially an existing Algorithm State state an Algorithm A executes as follows

- 1. call init
- 2. for each $stmt \in S_{\langle a..b..c \rangle}$
 - (a) relevant?
 - (b) accept?
 - (c) step
- 3. return result

with each process within A is enumerated as

```
(init [state] body)
- init state

(relevant? [state statement] body)
- is the statement valid for use in algorithm?

(accept? [state statement] body)
- can the algorithm consider the current statement?

(step [state statement] body)
- processing per statement
- can result in a modified state

(result [state] body)
- return without option(s) provided
- possibly sets default option(s)

(result [state opt] body)
- return with consideration to option(s)
```

- \bullet body is a collection of Primitive(s) which establishes the processing of inputs \to outputs
- \bullet state is a mutable Map of type KV and synonymous with Algorithm State
- ullet statement is a single statement within the collection of statements passed as input data to the Algorithm A
- opt are additional arguments passed to the algorithm A which impact the return value of the algorithm and synonymous with Option

An Algorithm must be passed an Algorithm State and a Collection of Statement(s). Option is optional.

- Statement(s)
- Algorithm State
- Option(s)

An Algorithm will return an Algorithm State.

• Algorithm State

An Algorithm can be described via its components. A formal definition for an Algorithm is presented at the end of this section. The following subsections go into more detail about the components of an Algorithm.

```
Algorithm ::= Init \gg Relevant? \gg Accept? \gg Step \gg Result
```

6.1 Initialization

First process to run within an Algorithm which returns the Algorithm State for the current iteration.

such that some state! does not need to be related to its arguments state? but state! could be derived from some seed state?. This functionality is dependent upon the composition of body within init.

6.1.1 Domain

• Algorithm State

6.1.2 Range

• Algorithm State

6.2 Relevant?

First process that each stmt passes through \Rightarrow relevant? \prec accept? \prec step

```
Relevant? [KV, STATEMENT] \\ state? : KV \\ stmt? : STATEMENT \\ relevant? \_ : KV \times STATEMENT \rightarrow Boolean \\ \hline relevant? = \langle body \rangle \\ relevant? (state?, stmt?) = true \lor false
```

resulting in an indication of whether the stmt is valid within algorithm A. The criteria which determines validity of stmt within A is defined by the body of relevant?

6.2.1 Domain

- Statement
- Algorithm State

6.2.2 Range

• Boolean

6.3 Accept?

Second process that each stmt passes through $\Rightarrow relevant? \prec accept? \prec step$

```
State?: KV \\ state?: KV \\ stmt?: STATEMENT \\ accept? \_: KV \times STATEMENT \rightarrow Boolean \\ accept? = \langle body \rangle \\ accept? (state?, stmt?) = true \lor false
```

resulting in an indication of whether the *stmt* can be sent to *step* given the current *state*. The criteria which determines usability of *stmt* given *state* is defined by the *body* of *accept*?

6.3.1 Domain

- Statement
- Algorithm State

6.3.2 Range

• Scalar

6.4 Step

An Algorithm Step consists of a sequential composition of Primitive(s) where the output of some function is passed as an argument to the next function both within and across Primitives in body.

```
body = p_i \gg p_n \gg p_j \Rightarrow o_{ii} \gg o_{in} \gg o_{ij} \gg o_{ni} \gg o_{nn} \gg o_{nj} \gg o_{ji} \gg o_{jn} \gg o_{jj}
```

The selection and ordering of Operation(s) and Primitive(s) into an Algorithmic Step determines how the Algorithm State changes during iteration through Statement(s) passed as input to the Algorithm.

```
P = \langle p_i ... p_n ... p_j \rangle \bullet i \leq n \leq j \Rightarrow i \prec n \prec j \iff i \neq n \neq j \bullet p_i \gg p_n \gg p_j
P' = \langle p_{i'} ... p_{n'} ... p_{j'} \rangle \bullet i' \leq n' \leq j' \Rightarrow i' \prec n' \prec j' \iff i' \neq n' \neq j' \bullet p_{i'} \gg p_{n'} \gg p_{j'}
P'' = \langle p_x ... p_y ... p_z \rangle \bullet x \leq y \leq z \Rightarrow x \prec y \prec z \iff x \neq y \neq z \bullet p_x \gg p_y \gg p_z
P = P' \iff i \mapsto i' \land n \mapsto n' \land j \mapsto j'
P = P'' \iff (i \mapsto x \land n \mapsto y \land j \mapsto z) \land (p_i \equiv p_x \land p_n \equiv p_y \land p_j \equiv p_z)
```

step may or may not update the input Algorithm State given the current Statement from the Collection of Statement(s).

```
S: Collection \\ stmt_a, stmt_b, stmt_c: STATEMENT \\ state?, step_a!, step_b!, step_c!: KV \\ step_-: KV \times STATEMENT \twoheadrightarrow KV \\ \\ S = \langle stmt_a..stmt_b..stmt_c \rangle \bullet a \leq b \leq c \Rightarrow a \prec b \prec c \iff a \neq b \neq c \\ step_a! = step(state?, stmt_a) \bullet step_a! = state? \lor step_a! \neq state? \\ step_b! = step(step_a!, stmt_b) \bullet step_b! = step_a! \lor step_b! \neq step_a! \\ step_c! = step(step_b!, stmt_c) \bullet step_c! = step_b! \lor step_c! \neq step_b! \\
```

In general, this allows step to be defined as

```
Step[KV, STATEMENT] \\ state?, state!: KV \\ stmt?: STATEMENT \\ step_-: KV \times STATEMENT \twoheadrightarrow KV \\ \\ step = \langle body \rangle \\ state! = step(state?, stmt?) = state? \lor state! \neq state?
```

A change of $state? \rightarrow state! \bullet state! \neq state?$ can be predicted to occur given

- The definition of individual Operations which constitute a Primitive
- The ordering of Operations within a Primitive
- The Primitive(s) chosen for inclusion within the body of step
- The ordering of Primitive(s) within the body of step
- The key value pair(s) in both Algorithm State and the current Statement
- The ordering of Statement(s)

6.4.1 Domain

- Statement
- Algorithm State

6.4.2 Range

• Algorithm State

6.5 Result

Last process to run within an Algorithm which returns the Algorithm State state when all $s \in S$ have been processed by step

```
relevant? \prec accept? \prec step \prec result \prec relevant? \iff S \neq \emptysetrelevant? \prec accept? \prec step \prec result \iff S = \emptyset
```

and does so without preventing subsequent calls of A

```
Result[KV, KV] = \\ result!, state?, opt?: KV \\ result \_: KV \times KV \rightarrow KV \\ \hline result = \langle body \rangle \\ result! = result(state?, opt?) = state? \lor state! \neq state?
```

such that if at some future point j within the timeline $i \dots n \dots j$

```
S(t_n) = \emptyset [S is empty at t_n]
S(t_j) \neq \emptyset [S is not empty at t_j]
S(t_{n-i}) [stmts(s) added to S between t_i and t_n]
S(t_{j-n}) [stmts(s) added to S between t_n and t_j]
S(t_{j-i}) = S(t_{n-i}) \cup S(t_{j-n}) [stmts(s) added to S between t_i and t_j]
```

Algorithm A can pick up from a previous $state_n$ without losing track of its own history.

```
state_{n-i} = A(state_i, S(t_{n-i}))
state_{n-1} = A(state_{n-2}, S(t_{n-1}))
state_n = A(state_{n-1}, S(t_n))
state_{j-n} = A(state_n, S(t_{j-n}))
state_j = A(state_i, S(t_{j-i}))
state_n = state_{n-1} \iff S(t_n) = \emptyset \land S(t_{n-1}) \neq \emptyset
state_j = state_{j-n} \iff state_{n-i} = state_n = state_{n-1}
```

Which makes A capable of taking in some $S_{\langle i..n.j..\infty\rangle}$ as not all $s \in S_{\langle i..\infty\rangle}$ have to be considered at once. In other words, the input data does not need to

persist across the history of A, only the effect of s on state must be persisted. Additionally, the effect of opt is determined by the body within result such that

```
A(state_n, S(t_{j-n}), opt)
\equiv A(state_i S(t_{j-i}))
\equiv A(state_i, S(t_{j-i}), opt)
\equiv A(state_n, S(t_{j-n}))
```

implying that the effect of opt doesn't prevent backwards compatibility of state.

6.5.1 Domain

- Algorithm State
- Option(s)

6.5.2 Range

• Algorithm State

6.6 Algorithm Formal Definition

In previous sections, A_{-} was used to indicate calling an Algorithm. In the rest of this document, that notation will be replaced with $algorithm_{-}$. This new notation is defined using the definitions of Algorithm Components presented above. The previous definition of an Algorithm

```
Algorithm ::= Init \gg Relevant? \gg Accept? \gg Step \gg Result
```

can be refined using the Operation recur and Primitive algorithm Iter (defined in following subsections) to illustrate how an Algorithm processes a Collection of Statement(s).

```
Algorithm[KV, Collection, KV]_{\perp}
Algorithm Iter, Recur, Init, Result
opt?, state?, state!: KV
S?: Collection \bullet \forall s? \in S? \mid s?: STATEMENT
algorithm_-: KV \times Collection \times KV \twoheadrightarrow KV
algorithm = \langle init\_, \langle algorithmIter\_, recur\_ \rangle^{\#S?}, result\_ \rangle
state! = algorithm(state?, S?, opt?) \bullet
     let init! == init(state?) \bullet
     \forall s_n \in S? \mid s_n : STATEMENT, n : \mathbb{N} \bullet i \leq n \leq j \bullet
           \exists_1 state_n \mid state_n : KV \bullet
                 let S_n^2 = tail(S_n^2)^{n-i}
                       state_i = algorithmIter(init!, S?_n) \Rightarrow S?_n = S? \iff n = i
                       state_n = recur(state_i, S?_n, \_algorithmIter\_)^{j-1} \iff n \neq i \land n \neq j
                       state_j = recur(state_n, (\{j-1, j\} \uparrow S?), \_algorithmIter\_) \iff n = j
                       state_{j+1} = state_j \Rightarrow recur(state_j, (j \mid S?), \_algorithmIter\_) \iff n = j + 1
       = result(state_i, opt?)
```

Within the schema above, the following notation is intended to show that algorithm is a Primitive \Rightarrow Collection of Primitives and/or Operations.

$$\langle init_-, \langle algorithmIter_-, recur_- \rangle^{\# S?}, result_- \rangle$$

Within that notation, the following notation is intended to represent the iteration through the Statement(s) via tail recursion.

$$\langle algorithmIter_, recur_ \rangle^{\#S?}$$

which implies that each Statement is passed to $algorithmIter_$ and the result is then passed on to the next iteration of the loop. The completion of this loop is the prerequisites of $result_$

6.6.1 Recur

The following schema introduces the Operation recur which expects an accumulator (KV), a Collection of Value(s) (V) being iterated over and a function $(_ \rightarrow _)$ which will be called as the result of recur. This Operation has been written to be as general purpose as possible and represents the ability to perform tail recursion. Given this intention, recur must only ever be the last Operation within a Primitive

```
\begin{array}{|c|c|c|c|}\hline p_{i...j} : \operatorname{seq}_1 \bullet \forall o \in p \mid o : \_ \to \_\\ \hline p_{i...j} = \langle \forall n : \mathbb{N} \mid i \leq n \leq j \land o_n \in p_{i...j} \bullet \\ \exists_1 o_n \bullet o_n \neq recur \lor o_n = recur \iff n = j \rangle \Rightarrow \\ front(p_{i...j}) \upharpoonright recur = \langle \rangle \end{array}
```

and results in a call to the passed in function where the accumulator ack? and the Collection (minus the first member) are passed as arguments to fn?. If this would result in the empty Collection ($\langle \rangle$) being passed to fn?, instead the accumulator ack? is returned.

```
Recur[KV, Collection, (\_ + \_)]
ack? : KV
S? : Collection
fn? : (\_ + \to \_)
recur\_ : KV \times Collection \times (\_ + \to \_) \leftrightarrow (KV \times Collection + \to \_)
recur(ack?, S?, fn?) = fn? (ack?, tail(S?)) \iff tail(S?) \neq \langle \rangle
recur(ack?, S?, fn?) = first(ack?, tail(S?)) \iff tail(S?) = \langle \rangle
```

In the context of Algorithms,

```
ack? = AlgorithmState

S? = Collection of Statement(s)

fn? = algorithmIter
```

6.6.2 Algorithm Iter

The following schema introduce the Primitive algorithm Iter which demonstrates the life cycle of a single statement as its passed through the components of an Algorithm.

```
AlgorithmIter[KV, Collection]
Relevant?, Accept?, Step
state?, state!: KV
S?: Collection
s?: STATEMENT
algorithmIter_: KV × STATEMENT \Rightarrow KV

algorithmIter = \langle relevant?\_, accept?\_, step\_ \rangle
s? = head(S?)
state! = algorithmIter(state?, s?) •
let relevant! == relevant? (state?, s?)
accept! == accept? (state?, s?)
step! == step(state?, s?)
step! == step(state?, s?)
= (state? \iff relevant! = false \lor accept! = false) \lor (step! \iff relevant! = true \land accept! = true)
```

If a statement if both relevant and acceptable, state! will be the result of step. Otherwise, the passed in state is returned $\Rightarrow step! = state?$.

7 Foundational Operations

The Operations in this section use the Operations pulled from the Z Reference Manual (section 1,4) within their own definitions. They are defined as Operations opposed to Primitives because they represent core functionality needed in the context of processing xAPI data given the definition of an Algorithm above. As such, these Operations are added to the global dictionary of symbols usable, without a direct reference to the components schema, within the definition of Operations and Primitives throughout the rest of this document. In general, Operations are intended to be simple, and should not contain any recursive calls. They are building blocks which are used across Primitives of varying functionality. When defining an Operation not already in the set of Foundational Operations defined here, its schema MUST be referenced at the top of all Schemas which utilize the new Operation.

7.1 Collections

Operations which expect a Collection $X = \langle x_i...x_n...x_j \rangle$

7.1.1 Array?

The operation *array*? will return a boolean which indicates if the passed in argument is a Collection

```
 \begin{array}{c} Array? \, [V] \\ coll? : \, V \\ bol! : \, Boolean \\ array? \, \_ : \, V \rightarrow Boolean \\ \hline bol! = array? \, (coll?) \bullet bol! = true \iff coll? : \, Collection \Rightarrow V \setminus (Scalar, KV) \\ \end{array}
```

where $V \setminus (Scalar, KV)$ is used to indicate that coll? is of type V

$$V ::= Scalar \mid Collection \mid KV$$

but in order for bol! = true, coll? must not be of type $Scalar \vee KV$ such that

```
X = \langle x_0, x_1, x_2, x_3, x_4 \rangle
x_0 = 0
x_1 = foo
x_2 = \langle baz, qux \rangle
x_3 = \langle \langle abc \mapsto 123, def \mapsto 456 \rangle \rangle
x_4 = \langle \langle \langle ghi \mapsto 789, jkl \mapsto 101112 \rangle \rangle, \langle \langle ghi \mapsto 131415, jkl \mapsto 161718 \rangle \rangle \rangle
array? (X) = true \qquad [collection by definition]
array? (x_2) = true \qquad [collection of <math>0 \mapsto baz, 1 \mapsto qux]
```

```
array? (x_4) = true [collection of maps]

array? (x_0) = false [Scalar]

array? (x_1) = false [String]

array? (x_3) = false [Map]
```

7.1.2 Append

The operation append will return a Collection with a Value added at a specified numeric Index.

```
 \begin{array}{l} Append[Collection,V,\mathbb{N}] \\ \hline coll?,coll!:Collection \\ v?:V \\ idx?:\mathbb{N} \\ append_{-}:Collection \times V \times \mathbb{N} \Rightarrow Collection \\ \hline \#idx?=1 \\ coll!=append(coll?,v?,idx?) \bullet \\ let\ coll'==front(\{i:\mathbb{N}\,|\,i\in 0\ldots idx?\} \upharpoonright coll?) \cap v? \\ coll''==\{j:\mathbb{N}\,|\,j\in idx?\ldots\#coll?\} \upharpoonright coll? \\ = coll' \cap coll'' \Rightarrow \\ (front(coll') \cap v? \cap coll'') \wedge \\ (v? \mapsto idx? \in coll!) \wedge \\ (\#coll!=\#coll?+1) \\ \hline \end{array}
```

append results in the composition of coll' and coll" such that

$$coll! = coll' \cap coll'' \wedge idx? \mapsto v? \in coll!$$

- coll' is the items in coll? up to and including idx? but the value at idx? is replaced with v? such that idx? $\mapsto coll$? idx? $\notin coll'$
- coll'' is the items in coll? from idx? to # coll? $\Rightarrow coll$? $_{idx}$? $\in coll''$

The following example illustrates these properties.

```
X = \langle x_0, x_1, x_2 \rangle
x_0 = 0
x_1 = foo
x_2 = \langle a, b, c \rangle
v? = bar
append(X, v?, 0) = \langle bar, 0, foo, \langle a, b, c \rangle \rangle
append(X, v?, 1) = \langle 0, bar, foo, \langle a, b, c \rangle \rangle
```

```
append(X, v?, 2) = \langle 0, foo, bar, \langle a, b, c \rangle \rangle
append(X, v?, 3) = \langle 0, foo, \langle a, b, c \rangle, bar \rangle
append(X, v?, 4) = append(X, v?, 3) \iff 3 \notin \text{dom } X
```

7.1.3 Remove

The inverse of the append Operations.

```
remove(coll, idx) = ^{\sim} append(coll, idx)
```

The operation remove will return a Collection minus the Value removed from the specified Numeric Index

such that

```
\begin{split} X &= \langle x_0, x_1, x_2 \rangle \\ x_0 &= 0 \\ x_1 &= foo \\ x_2 &= baz \end{split} remove(X,0) &= \langle foo, baz \rangle \qquad \qquad [0 \text{ was removed from } X] \\ remove(X,1) &= \langle 0, baz \rangle \qquad \qquad [\text{foo was removed from } X] \\ remove(X,2) &= \langle 0, foo \rangle \qquad \qquad [\text{baz was removed from } X] \\ remove(X,3) &= \langle 0, foo, baz \rangle = X \qquad \qquad [\text{nothing at 3, X unaltered}] \end{split}
```

7.1.4 At Index

The operation atIndex will return the Value at a specified numeric index within a Collection or an empty Collection if there is no value at the specified index.

```
AtIndex[Collection, \mathbb{N}] \_
idx? : \mathbb{N}
coll? : Collection
atIndex \_ : Collection \times \mathbb{N} \to V
\# idx? = 1
coll! = atIndex(coll?, idx?) = (head (idx? | coll?)) \iff idx? \in coll?
coll! = atIndex(coll?, idx?) = \langle\rangle \iff idx? \notin coll?
```

Given the definition of the Collection and V free types

```
Collection :== emptyColl \mid append \langle \langle Collection \times Scalar \vee Collection \vee KV \times \mathbb{N} \rangle \rangle
V ::= Scalar \mid Collection \mid KV
```

The collection member $coll?_{idx?}: V$ is implied from append accepting the argument of type $Scalar \lor Collection \lor KV \equiv V$ which means each Collection member is of type V. Given that extraction ($_ \uparrow _$) returns a Collection,

in order for atIndex to return the collection member without altering its type, the first member of atIdx' must be returned, not atIdx' itself.

```
atIdx' : Collection
coll!, coll?_{idx?} : V
atIdx' = (idx? \uparrow coll?) \Rightarrow \langle coll?_{idx?} \rangle
coll! = head(atIdx') = coll?_{idx?}
```

The *head* call is made possible by restricting idx? to be a single numeric value.

```
\begin{split} idx?\,, idx': \mathbb{N} \\ & \# idx? = 1 \bullet (idx? \restriction coll?) = \langle coll?_{idx?} \rangle \bullet \\ & (head(idx? \restriction coll?)) = coll?_{idx?} \quad \text{[expected return given } idx?] \\ & \# idx' \geq 2 \bullet (idx' \restriction coll?) = \langle coll?_{idx'_i} \dots coll?_{idx'_j} \rangle \bullet \\ & (head(idx' \restriction coll?)) = coll?_{idx'_i} \quad \text{[unexpected return given } idx'] \end{split}
```

Additionally, if the provided $idx? \notin coll?$ then an empty Collection will be returned given that head must be passed a non-empty Collection.

The properties of atIndex are illustrated in the following examples.

$$X = \langle x_0, x_1, x_2 \rangle$$

```
\begin{array}{l} x_0 = 0 \\ x_1 = foo \\ x_2 = \langle a,b,c \rangle \\ atIndex(X,0) = 0 \\ atIndex(X,1) = foo \\ atIndex(X,2) = \langle a,b,c \rangle \\ atIndex(X,3) = \langle \rangle \end{array} \qquad \begin{array}{l} [head\left(\langle \, x_0 \, \rangle \right)] \\ [head\left(\langle \, x_1 \, \rangle \right)] \\ [head\left(\langle \, x_2 \, \rangle \right)] \\ [atIndex(X,3) = \langle \, \rangle \\ [atIn
```

7.1.5 Update

The operation update will return a Collection coll! which is the same as the input Collection coll? except for at index idx?. The existing member $coll?_{idx?}$ is replaced by the provided Value v? at idx? in coll! such that

$$idx? \mapsto v? \in coll! \land idx? \mapsto coll?_{idx?} \notin coll!$$

which is equivalent to $remove \gg append$

```
update(coll?, v?, idx?) \equiv append(remove(coll?, idx?), v?, idx?)
```

The functionality of *update* is further explained in the following schema.

```
 \begin{aligned} &Update[Collection,V,\mathbb{N}] \\ &idx?:\mathbb{N} \\ &coll?,coll!:Collection \\ &v?:V \\ &update\_:Collection \times V \times \mathbb{N} \rightarrowtail Collection \end{aligned} 
 1 = \# idx? \\ &coll! = update(coll?,v?,idx?) \bullet \\ &let \ coll' == \{i:\mathbb{N} \mid i \in 0 ... idx?\} \mid coll? \\ &coll'' == head(coll') \cap v? \\ &coll''' == \{j:\mathbb{N} \mid j \in idx? +1 ... \# coll?\} \mid coll? \\ &= coll''' \cap coll'' \Rightarrow \\ &(append(remove(coll',idx?),v?,idx?) \cap coll'') \wedge \\ &(v? \mapsto idx? \in coll!) \wedge \\ &(\# coll! = \# coll?) \wedge \end{aligned}
```

The value which previously existed at $idx? \in coll?$ is replaced with v? to result in coll!

- coll' is the items in coll? up to and including idx?
- coll'' is the items in coll? except the item at idx? has been replaced with v?

• coll''' is the items in coll? from idx? +1 to # coll? $\Rightarrow coll$? $_{idx}$? $\notin coll''$

The following example illustrates these properties.

```
X = \langle x_0, x_1, x_2 \rangle
x_0 = 0
x_1 = foo
x_2 = \langle a, b, c \rangle
v? = bar
update(X, v?, 0) = \langle bar, foo, \langle a, b, c \rangle \rangle
update(X, v?, 1) = \langle 0, bar, \langle a, b, c \rangle \rangle
update(X, v?, 2) = \langle 0, foo, bar \rangle
update(X, v?, 3) = \langle 0, foo, \langle a, b, c \rangle, bar \rangle
update(X, v?, 4) = append(X, v?, 3) = update(X, v?, 3) \iff 3 \notin \text{dom } X
```

7.2 Key Value Pairs

Operations which expect a Map $M = \langle \langle k_i v_{k_i} ... k_n v_{k_n} ... k_j v_{k_i} \rangle \rangle$

7.2.1 Map?

The operation map? will return a boolean which indicates if the passed in argument is a KV

```
 \begin{array}{c} Map? \, [V] \\ m? : V \\ bol! : Boolean \\ map? \, \_ : V \rightarrow Boolean \\ \hline bol! = map? \, (m?) \bullet bol! = true \iff m? : KV \Rightarrow V \setminus (Scalar, Collection) \end{array}
```

where $V \setminus (Scalar, Collection)$ is used to indicate that m? is of type V

$$V ::= Scalar \, | \, Collection \, | \, KV$$

but in order for bol! = true, m? must not be of type $Scalar \vee Collection$ such that

$$X = \langle \langle x_0, x_1, x_2, x_3, x_4 \rangle \rangle$$

$$x_0 = 0$$

$$x_1 = foo$$

$$x_2 = \langle baz, qux \rangle$$

$$x_3 = \langle \langle abc \mapsto 123, def \mapsto 456 \rangle \rangle$$

```
x_4 = \langle \langle \langle ghi \mapsto 789, \ jkl \mapsto 101112 \rangle \rangle, \langle \langle ghi \mapsto 131415, \ jkl \mapsto 161718 \rangle \rangle

map? (X) = true [KV by definition]

map? (x_3) = true [KV]

map? (x_2) = false [Collection]

map? (x_4) = false [Collection of maps]

map? (x_0) = false [Scalar]

map? (x_1) = false [String]
```

7.2.2 Associate

The operation associate establishes a relationship between k? and v? at the top level of m!.

```
Associate [KV, K, V]
m?, m!, m' : KV
k? : K
v? : V
associate_- : KV \times K \times V \rightarrow\!\!\!\!\!\rightarrow KV

m! = associate(m?, k?, v?) \bullet
let \ m' == m? \lessdot k? \Rightarrow
(\text{dom } m' = \text{dom } (m? \setminus k?)) \land
(m? \setminus m' = k? \iff k? \in m?) \land
(m? \setminus m' = \emptyset \iff k? \notin m? \Rightarrow m? = m')
= \langle\!\langle k? \mapsto v? \rangle\!\rangle \cup m'
```

This implies that any existing mapping at $k? \in m?$ will be overwritten by associate but an existing mapping is not a precondition.

```
\begin{array}{c} (k?\,,m?_{k?}\,) \in m? \lor (k?\,,m?_{k?}\,) \not\in m? \\ (k?\,,m?_{k?}\,) \not\in m! \\ (k?\,,v?\,) \in m! \\ \hline \\ m! = associate(m?\,,k?\,,v?\,) \end{array}
```

associate does not alter any other mappings within m? and this property is illustrated by the definition of local variable m'

```
m': KV \mid m' = m? \lessdot k? \Rightarrow m' \vartriangleleft (m? \backslash k?)
dom \ m? = \{ k_i : K \mid 0 ... \# m? \bullet k_i \in m? \land 0 \le i \le \# m? \}
dom \ m' = \{ k'_i : K \mid 0 ... \# m' \bullet k'_i \in m? \land k'_i \ne k? \land 0 \le i \le \# m' \}
dom \ m' = dom \ m? \iff k? \not \in m? \Rightarrow \forall k_i \in m? \mid k_i \ne k?
\# m' = \# m? \iff k? \not \in m?
\# m' = \# m? -1 \iff k? \in m?
```

and its usage within the definition of associate.

$$m! = m? \cup \langle\!\langle k? \mapsto v? \rangle\!\rangle \Rightarrow k? \notin m?$$

$$m! = m' \cup \langle\!\langle k? \mapsto v? \rangle\!\rangle \Rightarrow m' \neq m? \land k? \in m?$$

The following examples demonstrate the intended functionality of associate.

$$M = \langle \langle k_0 v_{k_0}, k_1 v_{k_1} \rangle \rangle$$

$$k_0 = abc \land v_{k_0} = 123 \qquad [k_0 v_{k_0} = abc \mapsto 123]$$

$$k_1 = def \land v_{k_1} = xyz \mapsto 456 \qquad [k_1 v_{k_1} = def \mapsto xyz \mapsto 456]$$

$$associate(M, baz, foo) = \langle \langle abc \mapsto 123, def \mapsto xyz \mapsto 456, baz \mapsto foo \rangle \rangle$$

$$associate(M, abc, 321) = \langle \langle abc \mapsto 321, def \mapsto xyz \mapsto 456 \rangle \rangle$$

7.2.3 Dissociate

The operation dissociate will remove some $k \mapsto v$ from KV given $k \in KV$

```
Dissociate[KV, K] \\ m?, m! : KV \\ k? : K \\ dissociate_- : KV \times K \twoheadrightarrow KV \\ \hline m! = dissociate(m?, k?) \bullet m! = m? \lessdot k? \Rightarrow \\ (\text{dom } m! = \text{dom} (m? \backslash k?)) \land \\ (m? \backslash m! = k? \iff k? \in m?) \land \\ (m? \backslash m! = \emptyset \iff k? \not\in m? \Rightarrow m? = m!) \land \\ ((k?, m?_{k?}) \not\in m!)
```

such that every mapping in m? is also in m! except for k? $\mapsto m$? $_k$?.

$$M = \langle \langle k_0 v_{k_0}, k_1 v_{k_1} \rangle \rangle$$

$$k_0 = abc \land v_{k_0} = 123 \qquad [k_0 v_{k_0} = abc \mapsto 123]$$

$$k_1 = def \land v_{k_1} = xyz \mapsto 456 \qquad [k_1 v_{k_1} = def \mapsto xyz \mapsto 456]$$

$$dissociate(M, abc) = \langle \langle def \mapsto xyz \mapsto 456 \rangle \rangle$$

$$dissociate(M, def) = \langle \langle abc \mapsto 123 \rangle \rangle$$

$$dissociate(M, xyz) = M \qquad [xyz \notin M]$$

7.2.4 At Key

The operation atKey will return the Value v at some specified Key k.

```
AtKey[KV, K] = m?: KV
v!: V
k?: K
atKey_{-}: KV \times K \rightarrow V
v! = atKey(m?, k?) \bullet
let coll == ((seq m?) \upharpoonright (k?, m?_{k?})) \Rightarrow \langle (k?, m?_{k?}) \rangle \iff k? \in \text{dom } m?
= (second(head(coll)) \iff k? \mapsto m?_{k?} \in coll) \vee
(\emptyset \iff k? \not\in \text{dom } m?)
```

In the schema above, coll is the result of filtering for $(k?, m?_{k?})$ within seq m?. If the mapping was in the original m?, it will also be in the sequence of mappings. This means we can filter over the sequence to look for the mapping and if found, it is returned as $\langle (k?, m?_{k?}) \rangle$. To return the mapping itself, head(coll) is used to extract the mapping such that the value mapped to k? can be returned.

$$v! = atKey(m?, k?) = second(head(coll)) = m?_{k?} \bullet m?_{k?} : V \iff k? \in dom m?$$

The following examples demonstrate the properties of atKey

$$M = \langle \langle k_0 v_{k_0}, k_1 v_{k_1} \rangle \rangle$$

$$k_0 = abc \land v_{k_0} = 123 \qquad [k_0 v_{k_0} = abc \mapsto 123]$$

$$k_1 = def \land v_{k_1} = xyz \mapsto 456 \qquad [k_1 v_{k_1} = def \mapsto xyz \mapsto 456]$$

$$atKey(M, abc) = 123$$

$$atKey(M, def) = xyz \mapsto 456$$

$$atKey(M, foo) = \emptyset$$

7.3 Utility

Operations which are useful in many Statement processing contexts.

7.3.1 Map

The map operation takes in a function fn?, Collection coll? and additional Arguments args? (as necessary) and returns a modified Collection coll! with members fn!_n. The ordering of coll? is maintained within coll!

```
 \begin{array}{l} Map[(\_ \leftrightarrow \_), Collection, V] \\ fn?: (\_ \leftrightarrow \_) \\ args?: V \\ coll?, coll!: Collection \\ map\_: (\_ \leftrightarrow \_) \times Collection \times V \twoheadrightarrow Collection \\ \hline \\ coll! = map(fn?, coll?, args?) \bullet \\ & \langle \forall n: i..j \in coll? \mid i \leq n \leq j \land j = \# coll? \bullet \\ & \exists_1 fn!_n: V \mid fn!_n = \\ & (fn? (coll?_n, args?) \iff args? \neq \emptyset) \lor \\ & (fn? (coll?_n) \iff args? = \emptyset) \rangle \Rightarrow fn!_i \cap fn!_n \cap fn!_j \\ \hline \end{array}
```

Above, $fn!_n$ is introduced to handle the case where fn? only requires a single argument. Additional arguments may be necessary but if they are not $(args? = \emptyset)$ then only $coll?_n$ is passed to fn?.

```
X = \langle 1, 2, 3 \rangle map\left(succ, X\right) = \langle 2, 3, 4 \rangle \qquad \qquad \text{[increment each member of } X \text{]} map\left(+, X, 2\right) = \langle 3, 4, 5 \rangle \qquad \qquad \text{[add 2 to each member of } X \text{]}
```

7.3.2 Iso To Unix Epoch

The *isoToUnix* operation converts an ISO 8601 Timestamp (see the xAPI Specification) to the number of seconds that have elapsed since January 1, 1970

```
ts = 2015 - 11 - 18T12 : 17 : 00 + 00 : 00 \equiv 2015 - 11 - 18T12 : 17 : 00Z
isoToUnixEpoch(ts) = 1447849020 [ISO 8601 \rightarrow Epoch time]
```

7.3.3 Timeunit To Number of Seconds

The operation toSeconds will return the number of seconds corresponding to the input Timeunit

 $Timeunit ::= second \mid minute \mid hour \mid day \mid week \mid month \mid year$

such that the following schema defines toSeconds

```
To Seconds[Timeunit]
t?: Timeunit
to Seconds(t?) = 1 \iff t? = second
to Seconds(t?) = 60 \iff t? = minute
to Seconds(t?) = 3600 \iff t? = hour
to Seconds(t?) = 86400 \iff t? = day
to Seconds(t?) = 604800 \iff t? = week
to Seconds(t?) = 2629743 \iff t? = month
to Seconds(t?) = 31556926 \iff t? = year
```

7.4 Rate Of

The Operation rateOf calculates the number of times something occurs within an interval of time given a unit of time.

```
rateOf(nOccurances, start, end, unit)
```

Where the output translates to: the rate of occurrence per unit within interval

- nOccurances is the number of times something happened and should be an Integer (called nO? bellow)
- start is an ISO 8601 timestamp which serves as the first timestamp within the interval
- ullet end is an ISO 8601 timestamp which servers as the last timestamp within the interval
- unit is a String Enum representing the unit of time

This can be seen in the definition of rateOf bellow.

```
RateOf[\mathbb{N}, TIMESTAMP, TIMESTAMP, TIMEUNIT] \_\_\_
nO?: \mathbb{N}
rate!: \mathbb{Z}
start?, end?: TIMESTAMP
unit?: TIMEUNIT
rateOf\_: \mathbb{N} \times TIMESTAMP \times TIMESTAMP \times TIMEUNIT \to \mathbb{Z}
rate! = rateOf(nO?, start?, end?, unit?) \bullet
let \quad interval == isoToUnix(end) - isoToUnix(start)
unitS == toSeconds(unit?)
= nO? \div (interval \div units)
```

The only other functionality required by rateOf is supplied via basic arithmetic

```
\begin{split} start &= 2015 - 11 - 18T12 : 17 : 00Z \\ end &= 2015 - 11 - 18T14 : 17 : 00Z \\ unit &= second \\ nO? &= 10 \\ startN &= isoToUnix(start) = 1447849020 \\ endN &= isoToUnix(end) = 1447856220 \\ interval &= endN - StartN = 7200 \\ unitN &= toSeconds(unit) = 60 \\ 0.001389 &= rateOf(nO?, start, end, unit) \Rightarrow 10 \div (7200 \div 60) \\ 5 &= rateOf(nO?, start, end, hour) \Rightarrow 10 \div (7200 \div 3600) \end{split}
```

8 Common Primitives

There will be many Primitives used within Algorithm definitions in DAVE but navigation into a nested Collection or KV is most likely to be used across nearly all Algorithm definitions. In the following section, helper Operations are introduced for navigation into and back out of a nested Value. These Operations are then used to define the common Primitives centered around traversal of nested data structures ie. xAPI Statements and Algorithm State.

8.1 Traversal Operations

ullet retrieval of a V located at id? within in? where in? can be a Collection or KV

• Updating of parent? to include child? at location indicated by head(at?)

```
Conj[V, V] = \\ parent?, data? : V \\ conj! : Collection \\ conj_{-}: V \times V \Longrightarrow Collection \\ \\ conj! = conj(parent?, data?) \bullet \\ let \ j == first(last(parent?)) \\ parent?_{coll} == append(\langle \rangle, parent?, 0) \\ = (append(parent?, data?, (j+1)) \iff array?(parent?) = true) \vee \\ (append(parent?_{coll}, data?, (j+1)) \iff array(parent?) = false) \\ \\
```

• conj! is a collection with data? at the last index $conj!_j = data$?.

8.2 Traversal Primitives

The helper Operations defined above are used to describe the traversal of a heterogeneous nested Value. In the following subsections, examples which demonstrate the functionality of Primitives will be passed X as in?

```
\begin{split} X &= \langle x_0, x_1, x_2 \rangle \\ x_0 &= true \\ x_1 &= \langle a, b, c \rangle \\ x_2 &= \langle \langle foo \mapsto \langle \langle bar \mapsto buz, x \mapsto y, z \mapsto \langle 3, 2, 1 \rangle \rangle \rangle \rangle \\ fn! &= fn(X_{\langle path?_i \dots path?_{j-1} \rangle}, v?) \bullet \\ &\qquad \forall X_{\langle path?_i \dots path?_{j-1} \rangle} \wedge v? \mid fn! = ZZZ \quad \text{[always return } ZZZ] \end{split}
```

8.2.1 Get In

Collection and KV have different Fundamental Operations for navigation into, value extraction from and application of updates to. Navigation into an arbitrary Value without concern for its type is a useful tool to have and has been defined as the Primitive getIn.

```
Get_{in}[V, Collection] \\ Get_{in}[Recur] \\ in?, atPath!: V \\ path?: Collection \\ get_{in}[V] \times Collection \Rightarrow V \\ \\ first_{in}[V] \times Collection \Rightarrow V \\ \\ get_{in}[V] \times Collection \Rightarrow V \\ \\ for in}[V] \times Collection \Rightarrow V \\ \\ get_{in}[V] \times Collection \Rightarrow V \\ \\ for in}[V] \times Collection \Rightarrow V \\ for in}[V] \times Collection \Rightarrow V \\ \\ for in}[V] \times Collection \Rightarrow V \\ for in}
```

The following examples demonstrate the functionality of the Primitive getIn

$$getIn(X,\langle 1,1\rangle) = b$$

```
getIn(X, \langle 0 \rangle) = true

getIn(X, \langle 2, foo, z, 0 \rangle) = 3
```

Additionally, the propagation of an update, starting at some depth within a passed in Value and bubbling up to the top level, such that the update is only applied to values along a specified path as necessary, is also a useful tool to have. The following sections introduce Primitives which address performing these types of updates and ends with a summary of the functional steps described in the sections below. replaceAt is introduced first and serves as a point of comparison when describing the more abstract Primitives backProp and walkBack.

8.2.2 Replace At

The schema ReplaceAt uses the helper Operation merge to apply updates while climbing up from some arbitrary depth.

```
ReplaceAt[V, Collection, V]
 GetIn, Merge
in?, with?, out!: V
path?: Collection
replaceAt_{-}: V \times Collection \times V \rightarrowtail V
replaceAt = \langle \langle getIn\_, merge\_ \rangle, recur\_ \rangle^{\# path?-1}
out! = replaceAt(in?, path?, with?) \bullet
                 \forall n: i..j-1 \bullet (i=first(head(path?))) \land (j=first(last(path?))) \mid \exists parent_n \bullet (j=first(path?)) \mid \exists parent_n \bullet (j=first(pa
                                                   let path?_n == tail(path?)^{n-i}
                                  parent_n = recur(parent_{n-1}, path?_n, get\_)^{j-1} \Rightarrow
                                                   let \ parent_i == getIn(in?, path?_n) \iff n = i
                                                                parent_{i+1} == getIn(parent_i, path?_n) \iff n = i + 1
                                                                parent_{j-1} == getIn(parent_{j-2}, path?_n) \iff n = j-1
                                                  parent_j = getIn(parent_{j-1}, (path? \mid j))
                 \forall z: p.. q \bullet (p = j - 1) \land (q = i + 1) \Rightarrow
                                                                    ((z=p\iff n=j-1)\land (z=q\iff n=i+1))\mid \exists child_z \bullet
                                                   let path?_{rev} == rev(path?)
                                                                 path?_z == tail(path?_{rev})^{p-z+1}
                                  child_z = recur((parent_n, child_{n+1}), path?_z, merge\_)
                                                   let \ child_p == merge((parent_n, with?), path?_z) \iff z = p \Rightarrow n = j - 1
                                                                child_{p+1} == merge((parent_n, child_p), path?_z) \iff n = j - 2 \land p = j - 1
                                                                child_q == merge((parent_n, child_{q+1}), path?_z) \iff z = q \Rightarrow n = i+1
 out! = merge((in?, child_q), path?_n) \equiv merge((in?, child_q), (path? \mid i)) \iff (n = i = q - 1)
```

• The range of indices i ... j - 1 is used to describe navigation into some Value given path?

- Used to reference preceding level of depth
- keeps track of parent from previous steps
- The range of indices p...q is used to describe navigation up from target depth indicated by path?
 - Used to reference current level of depth
 - keeps track of child after the update has been applied
- The propagation of the update starts with $child_p$
 - with? is added to $parent_{j-1}$ at $get(path?, \langle j \rangle)$
 - parent nodes need to be notified of the change within their children

The following examples demonstrate the functionality of the Primitive replaceAt

```
replaceAt(X, \langle 2, foo, q \rangle, fn!) = \langle x_0, x_1, \langle \langle foo \mapsto \langle \langle bar \mapsto buz, x \mapsto y, q \mapsto ZZZ \rangle \rangle \rangle \rangle \ranglereplaceAt(X, \langle 2, foo, x \rangle, fn!) = \langle x_0, x_1, \langle \langle foo \mapsto \langle \langle bar \mapsto buz, x \mapsto ZZZ \rangle \rangle \rangle \rangle \rangle
```

This Primitive can be made more general purpose by replacing merge with a placeholder fn? representing a passed in Operation or Primitive.

8.2.3 Back Prop

Being able to pass a function as an argument allows for, in this context, the arbitrary handling of how update(s) are applied at each level of nesting. The arbitrary fn? should expect a (Parent, Child) tuple and a Collection of indices as arguments and return a potentially modified version of the parent.

```
BackProp[V, Collection, V, (\_ \rightarrow \_)]
GetIn
in?, fnSeed?, out!: V
path?: Collection
fn?: (\_ \rightarrow \_)
backProp_{-}: V \times Collection \times V \times (\_ +\!\!\!\! + \_) \rightarrowtail V
backProp = \langle \langle getIn\_, fn?\_ \rangle, \ recur\_ \rangle^{\# \ path?-1}
out! = backProp(in?, path?, fnSeed?, fn?) \bullet
     \forall n: i..j-1 \bullet (i = first(head(path?))) \land (j = first(last(path?))) \mid \exists parent_n \bullet
                 let path?_n == tail(path?)^{n-i}
           parent_n = recur(parent_{n-1}, path?_n, get\_)^{j-1} \Rightarrow
                 let \ parent_i == getIn(in?, path?_n) \iff n = i
                     parent_{i+1} == getIn(parent_i, path?_n) \iff n = i + 1
                     parent_{j-1} == getIn(parent_{j-2}, path?_n) \iff n = j-1
                parent_{j} = getIn(parent_{j-1}, (path? \mid j))
     \forall z: p.. q \bullet (p = j - 1) \land (q = i + 1) \Rightarrow
                      ((z = p \iff n = j - 1) \land (z = q \iff n = i + 1)) \mid \exists child_z \bullet
                 let path?_{rev} == rev(path?)
                     path?_z == tail(path?_{rev})^{p-z+1}
           child_z = recur((parent_n, child_{n+1}), path?_z, fn?)
                 let child_p == fn?((parent_n, fnSeed?), path?_z) \iff z = p \Rightarrow n = j - 1
                     child_{p+1} == fn?((parent_n, child_p), path?_z) \iff n = j - 2 \land p = j - 1
                     child_q == fn?((parent_n, child_{q+1}), path?_z) \iff z = q \Rightarrow n = i+1
out! = fn?((in?, child_q), path?_n) \equiv fn?((in?, child_q), (path? \mid i)) \iff (n = i = q - 1)
```

The schema ReplaceAt was introduced before BackProp so the process underlying both could be explicitly demonstrated and defined. The hope is that this made the introduction of the more abstract Primitive backProp easier to follow. A quick comparison of ReplaceAt and BackProp reveals that the only major difference between them is fn? vs $merge_$. This implies the Primitive backProp can be used to replicate replaceAt.

```
replaceAt(in?, path?, with?) \equiv backProp(in?, path?, fnSeed?, merge\_) \iff with? = fnSeed?
```

Above highlights the arguments with? $\land fnSeed$? which serve the same purpose within backProp and replaceAt.

- Within ReplaceAt, the naming with? indicates its usage with respect to merge and the overall functionality of the Primitive
- Within BackProp, the naming fnSeed? indicates that the usage of the variable within fn? is unknowable but this value will be passed to fn? on the very first iteration of the Primitive

In both cases, the variable is put into a tuple and passed to fn?.

```
backProp(X, \langle 2, foo, x \rangle, fn!, merge_{-}) = \langle x_0, x_1, \langle \langle foo \mapsto \langle \langle bar \mapsto buz, x \mapsto ZZZ \rangle \rangle \rangle \rangle
```

The notable limitation of backProp are enumerated in the bullets bellow and the Primitive walkBack is introduced to address them.

- expectation of a seeding value (fnSeed?) as a passed in argument
- the general dismissal of the value $(parent_j)$ located at path? which is potentially being overwritten

8.2.4 Walk Back

In the Primitive walkBack, fnSeed? is assumed to be the result of a function fn? $_{\delta}$ which is passed in as an argument. fn? $_{\delta}$ will be passed $parent_{j}$ as an argument in order to produce fnSeed?. This Value will then be used exactly as it was in backProp given walkBack expects another function argument fn? $_{nav}$.

```
walkBack(in?, path?, fn?_{\delta}, fn?_{nav})
```

In fact, the usage of $fn?_{nav}$ in WalkBack is exactly the same as the usage of fn? in BackProp as $fn?_{nav}$ is passed to backProp as fn?.

```
WalkBack[V,Collection,(\_ \to \_),(\_ \to \_)]
BackProp
in?,out!:V
path?:Collection
fn?_{\delta},fn?_{nav}:(\_ \to \_)
walkBack\_:V \times Collection \times (\_ \to \_) \times (\_ \to \_) \to V
walkBack=\langle getIn\_,fn?_{\delta}\_,backProp\_\rangle
out!=walkBack(in?,path?,fn?_{\delta},fn?_{nav}) \bullet
let \ fnSeed==fn?_{\delta} \ (getIn(in?,path?))
=backProp(in?,path?,fnSeed,fn?_{nav})
```

By replacing fnSeed? with $fn?_{\delta}$ as an argument

- walkBack can be used to describe predicate based traversal of in?
- walkBack can be used to update Values at arbitrary nesting within in? and at the same time describe how those changes affect the rest of in?

walkBack serves as a graph traversal template Primitive whose behavior is defined in terms of the nodes within in? and the interpretation of those nodes via fn? $_{\delta}$ and fn? $_{nav}$. This establishes the means for defining Primitives which can make longitudinal updates as needed before making horizontal movements through some in?. In order for backProp to be used in the same way, the required state must be managed by

- fn_{nav}
- some higher level Primitive that contains backProp (see WalkBack)

This important difference means walkBack can be used to replicate backProp but the opposite is not always true.

```
walkBack(in?, path?, fn?_{\delta}, fn?_{nav}) \equiv backProp(in?, path?, fnSeed?, fn?_{nav}) \iff fnSeed? = fn?_{\delta} (getIn(in?, path?))
```

This means replaceAt can also be replicated.

```
\begin{split} replaceAt(in?,path?,with?) \equiv \\ (backProp\,(in?,path?,fnSeed?,merge\_) &\iff with? = fnSeed?\,) \equiv \\ walkBack(in?,path?,fn?_\delta\,,merge\_) &\iff \\ fn?_\delta\,(getIn(in?,path?)) = fnSeed? = with? \end{split}
```

The following examples demonstrate the functionality of walkBack

```
walkBack(X, \langle 0 \rangle, array?\_, merge\_) = \langle false, x_1, x_2 \rangle
walkBack(X, \langle 2, qux \rangle, fn\_, merge\_) = \langle x_0, x_1, (x_2 \cup qux \mapsto ZZZ) \rangle
walkBack(X, \langle 1, 0 \rangle, succ\_, merge\_) = \langle x_0, \langle b, b, c \rangle, x_2 \rangle
```

8.3 Summary

The following is a summary of the general process which has been described in the previous sections. The variable names here are NOT intended to be 1:1 with those in the formal definitions (but there is some overlap) and the summary utilizes the Traversal Operations defined at the start of the section.

1. navigate down into the provided value in? up until the second to last value in? path? $_{i-1}$ as described by the provided path?

$$\begin{array}{l} in?_{path?_{j-1}} : V \\ \vdash path?_{j-1} \Rightarrow path? \lhd (\text{dom } path? \setminus \{j\}) \end{array}$$

2. extract any existing data mapped to atIndex(path?, j) from the result of step 1

$$\begin{array}{l} in?_{path?} : V \\ \vdash path? \Rightarrow path?_{j-1} \cup (j, atIndex(path?, j)) \end{array}$$

3. create the mapping $(atIndex(path?, j), in?_{path?})$ labeled here as args?

$$\begin{array}{l} args? = (atIndex(path?\,,j),in?_{path?}\,)\\ \vdash \\ args? \in in?_{path?_{j-1}}\\ first(args?\,) = atIndex(path?\,,j) \end{array}$$

4. pass $in?_{path}$? to the provided function fn? to produce some output fn!

$$fn! = fn? (second(args?)) = fn? (in?_{path?})$$

5. replace the previous mapping args? within $in?_{path?_{j-1}}$ with fn! at atIndex(path?,j)

```
\begin{array}{l} child_{j} = first(args?) \mapsto fn! \\ in!?_{path?_{j-1}} = merge((in?_{path_{j-1}},fn!),first(args?)) \\ \vdash \\ child_{j} \in in!?_{path?_{j-1}} \\ child_{j} \notin in?_{path?_{j-1}} \iff child_{j} \neq args? \\ args? \in in?_{path?_{j-1}} \\ args? \notin in!?_{path?_{j-1}} \iff args? \neq child_{j} \end{array}
```

6. retrace navigation back up from $in!?_{path?_{j-1}}$, updating the mapping at each $path?_n \in path$? without touching any other mappings.

```
\begin{array}{l} in!\:?_{path?_{j-1}} \lhd first(args?\:) = in?_{path?_{j-1}} \lhd first(args?\:) \iff args? \neq child_j \\ = args? \neq child_j \Rightarrow second(args?\:) \neq second(child_j) \\ in!\:?_{path?_{j-1}} \lhd first(args?\:) \Rightarrow in!\:?_{path?_{j-1}} \lhd (\: \text{dom}\:\: in!\:?_{path?_{j-1}} \setminus first(args?\:)) \end{array}
```

7. return *out*! after the final update is made to *in*?.

```
\begin{split} child_i &= atIndex(path?,i) \mapsto in!\,?_{path?_i} \\ &in!\,?_{path?_i} = merge((in?_{path?_i},in!\,?_{path?_{i+1}}), atIndex(path?,i+1)) \\ &\vdash \\ out! &= merge((in?,second(child_i)),first(child_i)) \bullet \\ &in? & \lhd head(path?) = out! & \lhd head(path?) \Rightarrow \\ & \forall (a,b) \in path? \bullet b = atIndex(path?,a) \mid \exists \, a \bullet in?_a = out!_a \iff a \neq head(path?) \end{split}
```

8.4 Replace At, Append At and Update At

In the summary of walkBack above, the update at the target location within in? takes place at step 4. The result of step 4, fn!, will overwrite the mapping args such that fn! replaces in? $_{path}$? due to fn? $_{nav} = merge_{-}$. This results in the replacement of one mapping at each level of nesting such that the overall structure, composition and size of out! is comparable to in? unless fn? $_{\delta}$ dictates otherwise. While the functionality of fn_{nav} has been constrained here to always be an overwriting process, the same constraint is not placed on fn? $_{\delta}$.

8.4.1 Replace At

The Primitive replaceAt was first defined in terms of the Traversal Operations and then served as the starting point for abstracting away aspects of functionality and delegating their responsibility to some passed in function until WalkBack was reached. An alternate form of this formal definition is presented below such that replaceAt is defined in terms of walkBack.

- fn_{δ} is defined within ReplaceAt as it performs a very simple task; ignore getIn(in?, path?) and return with?
- Here, fn_{δ} represents one of the main general categories of update; replacement of a value such that the result of the replacement is in no way dependent upon the thing being replaced.

The following examples were pulled from the section containing the first version of ReplaceAt as they still hold true.

```
replaceAt(X, \langle 2, foo, q \rangle, fn!) = \langle x_0, x_1, \langle \langle foo \mapsto \langle \langle bar \mapsto buz, x \mapsto y, q \mapsto ZZZ \rangle \rangle \rangle \rangle \ranglereplaceAt(X, \langle 2, foo, x \rangle, fn!) = \langle x_0, x_1, \langle \langle foo \mapsto \langle \langle bar \mapsto buz, x \mapsto ZZZ \rangle \rangle \rangle \rangle
```

8.4.2 Append At

In order to define the Primitive append At, the Traversal Operation conj is used. In order to demonstrate the usage of conj as $fn?_{\delta}$ of walkBack, a syntax not yet formally defined in this document is defined. It is an extension of the shorthand $val_{index} = get(Val, index)$ as seen in examples like

$$conj(x_0, false) = \langle true, false \rangle = \langle x_0, false \rangle$$
$$conj(X, X) = \langle x_0, x_1, x_2, \langle x_0, x_1, x_2 \rangle \rangle$$

The following expands that usage to describe following some path? into a Collection or KV.

$$X_{path?} = getIn(X, path?)$$

$$X_{\langle 1 \rangle} = x_1 = \langle a, b, c \rangle$$

$$X_{\langle 1, 0 \rangle} = a$$

This syntax is used for the placeholder X_{path} ? so that the role of $fn?_{\delta}$ can be demonstrated within the arguments passed to walkBack. This notation can be

used to describe how arguments passed to a top level function get used within component functions without writing the equivalent Z schema. This shorthand can also be used within Z schemas.

```
walkBack(X, \langle 1 \rangle, map\_(conj\_, X_{\langle 1 \rangle}, a), merge\_) = \langle x_0, \langle \langle a, a \rangle, \langle b, a \rangle, \langle c, a \rangle \rangle, x_2 \ranglewalkBack(X, \langle 1 \rangle, conj\_(X_{\langle 1 \rangle}, a), merge\_) = \langle x_0, \langle a, b, c, a \rangle, x_2 \rangle
```

Additive updates are another common type of updating encountered when working with xAPI data. Conj is a derivative of $^{\frown}$ but scoped to DAVE and used to define the Primitive appendAt.

```
AppendAt[V,Collection,V] $$ WalkBack,Conj,Merge $$ in?,toEnd?,out!:V $$ path?:Collection $$ appendAt_:V\times Collection\times V \mapsto V $$ appendAt_:V\times Collection\times V \mapsto V $$ appendAt_:V\times Collection\times V \mapsto V $$ out!=appendAt(in?,path?,toEnd?) $$ = $$ walkBack(in?,path?,toEnd?) $$ = $$ walkBack(in?,path?,conj_(in?_{path?},toEnd?),merge_) $$ \Rightarrow $$ backProp(in?,path?,fn!_\delta,merge_) $$ \Leftrightarrow $$ fn!_\delta = fn?_\delta (in?_{path?},toEnd?) $$ \bullet $$ fn!_\delta = (in?_{path?},toEnd?) $$ \bullet $$ conj_(in?_{path?},toEnd?) = conj_+ \leftrightarrow (in?_{path?},toEnd?) $$ \Rightarrow $$ (fn!_\delta = conj_-) $$ \wedge $$ (fn!_\delta = conj_-(in?_{path?},toEnd?)) $$ \wedge $$ (fn!_\delta = conj(in?_{path?},toEnd?)) $$ \rangle $$
```

This schema features a new notation which highlights evaluation nuances.

- $fn?_{\delta}$ is used to represent the function itself
- $fn?_{\delta}$ _($in?_{path?}$, toEnd?) is used to represent the relationship between the function and the arguments it WILL be passed
- $fn!_{\delta} \equiv fn?_{\delta} (in?_{path?}, toEnd?)$ is used to represent the output of $fn?_{\delta}$ given the passed in arguments

Such that the following are all equivalent expressions.

```
\begin{split} appendAt(in?,path?,toEnd?) \equiv \\ walkBack(in?,path?,fn?_{\delta},merge\_) \equiv \\ walkBack(in?,path?,conj\_(in?_{path?},toEnd?),merge\_) \equiv \\ walkBack(in?,path?,fn?_{\delta}\_(in?_{path?},toEnd?),merge\_) \equiv \\ backProp(in?,path?,fn!_{\delta},merge\_) \equiv \\ backProp(in?,path?,conj(in?_{path?},toEnd?),merge\_) \end{split}
```

The following example demonstrates this usage.

The following examples demonstrate the functionality of appendAt.

```
appendAt(X, \langle 1 \rangle, e) = \langle x_0, \langle a, b, c, e \rangle, x_2 \rangle
appendAt(X, \langle 2 \rangle, \langle 1, 2, 3 \rangle) = \langle x_0, x_1, \langle x_2, \langle 1, 2, 3 \rangle \rangle \rangle
appendAt(X, \langle 0 \rangle, bar) = \langle \langle x_0, bar \rangle, x_1, x_2 \rangle
```

8.4.3 Update At

The Primitive updateAt does not make any assumptions about how the relationship between getIn(in?, path?) and $fn!_{\delta}$ is established. This makes it possible to define both replaceAt and appendAt using updateAt.

```
UpdateAt[V,Collection,(\_ \rightarrow \_)] \\ WalkBack,Merge \\ in?,out!:V \\ path?:Collection \\ fn?_{\delta}:(\_ \rightarrow \_) \\ updateAt\_:V \times Collection \times (\_ \rightarrow \_) \rightarrowtail V \\ \\ updateAt = \langle walkBack\_\rangle \\ out! = updateAt(in?,path?,fn?_{\delta}) = \\ walkBack(in?,path?,fn?_{\delta},merge\_) \Rightarrow \\ backProp(in?,path?,fn!_{\delta},merge\_)
```

• The item found at the target path getIn(in?, path?) is passed to $fn?_{\delta}$ such that the calculation of the replacement $fn!_{\delta}$ CAN be dependent upon getIn(in?, path?).

The following examples demonstrate the functionality of the Primitive updateAt

```
\begin{array}{l} updateAt(X,\langle 0\rangle, array?\_) = \langle false, x_1, x_2\rangle \\ updateAt(X,\langle 1,0\rangle, fn?_{\delta\_}(X_{\langle 1,0\rangle})) = \langle x_0, \langle z,b,c\rangle, x_2\rangle \iff fn?_{\delta}(X_{\langle 1,0\rangle}) = z \end{array}
```

and the following shows how updateAt can be used to define appendAt

```
appendAt(in?,path?,toEnd?) \equiv updateAt(in?,path?,conj\_(in?_{path?},toEnd?)) and replaceAt.
```

```
replaceAt(in?, path?, with?) \equiv updateAt(in?, path?, merge\_((in?_{path?_{j-1}}, with?), \langle path?_j \rangle))
```

9 Rate of Completions

As learners engage in activities supported by a learning environment, they will build up a history of learning experiences. When the digital resources of that learning environment adhere to a framework dedicated to supporting and under-standing the learner, such as the Total Learning Architecture (TLA), the data produced by the learning environment will contribute to each learner's digital foot-print. One way that footprint can be made actionable is through analysis of trends and/or patterns of activity. The following Algorithm does exactly this but scoped to:

- events describing or asserting that a learner completed a learning activity or exercise.
- events which happened within some target window of time

9.1 Alignment to DAVE Algorithm Definition

The schema RateOfCompletions serves as the first formal definition of an Algorithm which implements the definition of a DAVE Algorithm presented in the section Algorithm Formal Definition(6.6) on page 32. RateOfCompletions is used to introduce the alignment between the generic components of Algorithm and their corresponding definitions within this domain specific use case. In general, all DAVE Algorithm definitions must reference the schema Algorithm and the schemas corresponding to the different components of Algorithm. Within RateOfCompletions, both Algorithm.algorithm.algorithmIter and ROCalgorithmIter are fully expanded for clarity. This is not a requirement of alignment schemas, but alignment schemas should feature:

- an expanded definition of the use case specific algorithm Iter
- binding of the use case specific algorithm Iter to Algorithm. algorithm. algorithm Iter

Typically, an alignment schema would be defined after its component schemas but because RateOfCompletions is the first of its kind, it is featured first to introduce the notation by example and set the stage for the following component definitions. The alignments established in RateOfCompletions are further expanded upon within the corresponding definition of each individual component.

9.1.1 Components

Within each component definition, in order to connect the dots between

- Algorithm and its components
- ullet RateOfCompletions and its components

the symbol \rightsquigarrow is used. This establishes that the constraints defined in the more generic component formal definitions apply to the schema being binded to. This is formalized within each of the RateOfCompletions component schemas via

```
\boxed{\begin{array}{c} genericSchema.primitiveName = \langle body \rangle \\ \hline \langle body \rangle \leadsto localSchema.primitiveName = localSchema.primitiveChain \end{array}}
```

9.2 Formal Definition

The application of the notation described above to *RateOfCompletions* results in the following definition with respect to schemas

```
RateOfCompletions ::= \\ Algorithm \ \S \ RateOfCompletions \Rightarrow \\ (Init \ \S \ RateOfCompletionsInit) \land \\ (Relevant? \ \S \ RateOfCompletionsRelevant?) \land \\ (Accept? \ \S \ RateOfCompletionsAccept?) \land \\ (Step \ \S \ RateOfCompletionsStep) \land \\ (Result \ \S \ RateOfCompletionsResult)
```

such that the $\langle body \rangle$ within each of the generic schema definitions is substituted for the Primitive chain defined within each of the local schemas. Here, the components of RateOfCompletions use a naming scheme of Container + AlgorithmComponent but this pattern is not required. It is used here strictly for additional highlighting of the syntax introduced above for connecting the generic definition of an Algorithm to an Implementation of that methodology much like the concepts underlying Java Interfaces.

```
\Delta RateOfCompletions[KV, Collection, KV]
Algorithm
RateOfCompletionsInit
RateOfCompletionsRelevant?
RateOfCompletionsAccept?
RateOfCompletionsStep
RateOfCompletionsResult
rateOfCompletions\_: KV \times Collection \times KV \twoheadrightarrow KV
state?, opt?, state!: KV
S?: Collection
Algorithm.algorithm.algorithmIter = \langle relevant?\_, accept?\_, step\_ \rangle
ROCalgorithmIter = \langle RateOfCompletionsRelevant?.relevant? _,
                        RateOfCompletionsAccept? .accept? _,
                        RateOfCompletionsStep.step\_\rangle
Algorithm.algorithmIter\_ \leadsto ROCalgorithmIter\_ \Rightarrow
    (Algorithm.algorithm.algorithmIter.relevant? \_ \sim
              RateOfCompletionsRelevant?.relevant?\_) \land
    (Algorithm.algorithm.algorithmIter.accept? \_ \sim
              RateOfCompletionsAccept? .accept? \_) \land
    (Algorithm.algorithm.algorithmIter.step\_ \sim
              RateOfCompletionsStep.step\_)
state! = rateOfCompletions(state?, S?, opt?) \equiv algorithm(state?, S?, opt?) \iff
              (Algorithm.algorithm.init\_ \rightarrow RateOfCompletionsInit.init\_) \land
              (Algorithm.algorithm.algorithmIter\_ 
ightharpoonup ROCalgorithmIter\_) \land
              (Algorithm.algorithm.result\_ 
ightharpoonup RateOfCompletionsResult.result\_)
```

- the . notation is used to reference components within a schema
- the \rightarrow represents alignment between components of Algorithm and RateOfCompletions
- the Δ in the schema name indicates that RateOfCompletions alters the state space of Algorithm due to usage of \leadsto

9.3 Initialization

The first example of a component to component alignment is found within RateOfCompletionsInit which shows how the primitive RateOfCompletionsInit.init is bound to $\langle body \rangle$ within Algorithm.algorithm.init. Specifically, the schema RateOfCompletionsInit uses the Primitive updateAt such that $init_{\delta}$ can be used to establish the initialization logic.

9.3.1 Formal Definition

In the following, $init_{\delta}$ could have been a stand alone Operation referenced within RateOfCompletionsInit.

The output of RateOfCompletionsInit.init is state! which can be one of two things given the definition of $init_{\delta}$

- $state! = \langle \langle roc \mapsto completions \mapsto \emptyset \rangle \rangle \cup state?$
- state! = state?

This means that the result of any previous runs of rateOfCompletions will not be overwritten but if this is the first iteration of the Algorithm, the necessary storage location is established within the Algorithm State such that

- RateOfCompletionsStep.step can write its output to $state!_{\langle roc, completions \rangle}$
- RateOfCompletionsResult.result can read from $state!_{(roc.completions)}$

and by defining RateOfCompletionsInit.init in this way, it allows for chaining of calls to rateOfCompletion such that

- the Algorithm can pick back up from the result of a previous iteration
- Other Algorithms can use the result of rateOfCompletions within their processing

which highlights the importance of establishing a unique path for individual Algorithms to write their results to. The example path? of $\langle roc, completions \rangle$ is very simple but is sufficient for the current Algorithm. This path? can be made more complex to support more advanced $init_{\delta}$ definitions. For example, each run of rateOfCompletions could have its own unique subpath. In this scenario, $init_{\delta}$ could be updated to look for the most recent run of rateOfCompletions and use it as the seed state for the current iteration among other things.

- $\langle roc, completions, run1 \rangle$
- $\langle roc, completions, run2 \rangle$

9.3.2 Big Picture

When Algorithms write to a unique location within an Algorithm State, high level Algorithms can be designed which chain together individual Algorithms such that the result of one is used to seed the next. Chaining together of Algorithms is a subject not yet covered within this report and its exact form is still under active development. It is mentioned here to highlight the ideal usage of Algorithm State in the context of init; Algorithm State is a mutable Map which serves as a storage location for a collection of Algorithm(s) to write to and/or read from such that an Algorithm can

- pick up from a previous iteration
- use the output of other Algorithm(s) to initialize the current state
- process quantities of data too large to store in local memory all at once

9.4 Relevant?

Given that the purpose of *relevant*? is to determine if the current Statement (*stmt*?) is valid for use within *step* of *rateOfCompletions*, the validation check itself can be implemented in several different ways but ideally, the predicate logic is expressed using the xAPI Profiles spec.

9.4.1 xAPI Profile Validation

The specification defines xAPI Statement Templates which feature a built in xAPI property predicate language for defining the uniquely identifying properties of an xAPI Statement. These requirements are used within validation logic aligned to/based off of the Statement Template Validation Logic defined in the spec. The formal definition of Statement Template validation logic is outside the scope of this document but the following basic type is introduced to represent an xAPI Statement Template

$$[TEMPLATE_{stmt}]$$

such that the following is an Operation definition for validation of an xAPI Statement stmt? against an xAPI Statement Template.

This Operation can be composed with other xAPI Profile centered Operations to define more complex predicate/validation logic like:

- stmt? matches target xAPI Statement Template(s) defined within some xAPI Profile(s)
- stmt? matches pred (ie, any/none/etc.) xAPI Statement Template(s) defined within some xAPI Profile(s)
- stmt? matches target/pred xAPI Statement Template(s) within target/pred xAPI Pattern(s) defined within some xAPI Profile(s)

9.4.2 xAPI Predicates

In order to avoid brining in additional xAPI Profile complexity, the logic of RateOfCompletionsRelevant? is implemented using predicates which correspond to checks which would happen during validateStmt given Statement Templates containing the following constraints.

- is the Object of the Statement an Activity?
- is the Verb indicative of a completion event?
- is Result.completion used to indicate completion?

In general, each of these Primitives navigates into a Statement to retrieve the value at a target *path*? and check it against the predicate defined in the schema. This generic functionality is defined as the Primitive stmtPred.

This Primitive covers the most basic kind of check performed when validating an xAPI Statement against an xAPI Statement Template; does the Statement property found at $stmt?_{path}$? adhere to the expectation(s) defined within the provided predicate. The next three schemas will define the statement predicates used within RateOfCompletionsRelevant? but these predicates could have been contained within some number of xAPI Statement Template(s).

```
-ActivityObject? [STATEMENT] \\ StatementPredicate \\ stmt?: STATEMENT \\ path?: Collection \\ fn_{pred}!: Boolean \\ fn_{pred} =: V \rightarrow Boolean \\ activityObject? \_: STATEMENT \rightarrow Boolean \\ activityObject? = \langle stmtPred\_\rangle \\ path? = \langle object, objectType \rangle \\ fn_{pred}! = activityObject? (stmt?) \\ = stmtPred(stmt?, path?, fn_{pred}) \\ = fn_{pred}(stmt?_{path?}) \\ = true \iff stmt?_{path?} = Activity \lor \emptyset
```

• Determine if the Object of *stmt*? is an Activity

```
CompletionVerb? [STATEMENT] \_
Statement Predicate
stmt?: STATEMENT
path?: Collection
fn_{pred}!: Boolean
fn_{pred} -: V \to Boolean
completionVerb? \_: STATEMENT \rightarrow Boolean
completionVerb? = \langle stmtPred \_ \rangle
path? = \langle verb, id \rangle
fn_{pred}! = completionVerb? (stmt?)
        = stmtPred(stmt?, path?, fn_{pred})
       = fn_{pred}(stmt?_{path?})
       = true \iff stmt?_{path?} =
              http: //adlnet.gov/expapi/verbs/passed \lor
              https: //w3id.org/xapi/dod-isd/verbs/answered \lor
              http://adlnet.gov/expapi/verbs/completed
```

- Determine if the Verb id within stmt? is one of
 - passed
 - answered
 - completed
- List of target Verb ids can be expanded as needed

```
CompletionResult? [STATEMENT] \_
StatementPredicate
stmt?: STATEMENT
path?: Collection
fn_{pred}!: Boolean
fn_{pred}: V \to Boolean
completionResult? \_: STATEMENT \to Boolean
completionResult? = \langle stmtPred \_ \rangle
path? = \langle result, completion \rangle
fn_{pred}! = completionResult? (stmt?)
= stmtPred(stmt?, path?, fn_{pred})
= fn_{pred}(stmt?_{path?})
= true \iff stmt?_{path?} = true
```

Determine if completion is set to true within result field of an xAPI Statement

9.4.3 Formal Definition

The xAPI Predicates defined above are used within RateOfCompletionsRelevant? to establish the logic which decides if stmt? is

- passed on to the next step
- discarded for the next Statement in the batch passed to rateOfCompletions

```
 \begin{array}{l} \Xi RateOfCompletionsRelevant? \left[KV,STATEMENT\right] \_\_\_\_\_\\ Relevant? \\ state?: KV \\ stmt?: STATEMENT \\ relevant!: Boolean \\ relevant? \_: KV \times STATEMENT \rightarrow Boolean \\ \hline Relevant.relevant? = \langle body \rangle \\ \langle body \rangle \sim relevant? = \langle activityObject?\_\_, \langle completionVerb?\_\_, completionResult?\_\_\rangle \rangle \\ relevant! = relevant? (state?, stmt?) \\ = true \iff (activityObject(stmt?) = true) \wedge \\ ((completionVerb? (stmt?) = true) \vee \\ (completionResult? (stmt?) = true)) \end{array}
```

The schema prefix Ξ is used to indicate that here, relevant? does not modify state?. Regardless, in order for relevant? to return true

- The object of stmt? must be an activity
- The Verb of stmt? has an id which matches one of the target IDs
- The Result of stmt indicates that a completion happened

9.5 Accept?

The Accept? component of a DAVE Algorithm is a secondary validation check prior to the potential passing of stmt? off to Step. At this point, stmt? has been validated to be relevant to the execution of an Algorithm so the final check is based off of the current Algorithm State state?. In many cases this check will not be necessary but this step matters when the ability to process stmt? is dependent upon some property of state?. This component of an Algorithm could be used to establish the placeholder mapping within state! if it doesn't exist for the current stmt? but this can also be handled within step as done in the schema ProcessCompletionStatement defined later on.

```
 \begin{array}{l} \Xi RateOfCompletionsAccept? \left[KV, STATEMENT\right] \\ Accept? \\ state?: KV \\ stmt?: STATEMENT \\ accept!: Boolean \\ fn_{pred}: KV \times STATEMENT \rightarrow Boolean \\ accept? \_: KV \times STATEMENT \rightarrow Boolean \\ \hline Accept? .accept? = \langle body \rangle \\ accept? = \langle fn_{pred} \_ \rangle \\ Accept? .accept? \rightsquigarrow accept? \Rightarrow \langle body \rangle \equiv \langle fn_{pred} \_ \rangle \\ accept! = accept? (state?, stmt?) \\ = fn_{pred}(state?, stmt?) = true \\ \end{array}
```

The Algorithm rateOfCompletions does not need to check state? before passing stmt? to step so fn_{pred} will always return true. If this was not the case, fn_{pred} would be defined as a predicate describing the relationship between state? and stmt? which determines if true or false is returned. Additionally, if false would be returned, Accept can take the appropriate steps to ensure state! is updated such that accept? (state!, stmt?) = true.

9.6 Step

The actual processing of stmt? happens within step and may or may not result in an updated Algorithm State state!. In the case of rateOfCompletions, each call to step is expected to return an altered state such that state! \neq state? and the schema RateOfCompletionsStep is prefixed with Δ accordingly. The updated state! will either have an existing mapping for $objectId \in state$? altered or a completely new mapping for objectId added to state?.

9.6.1 Processing Summary

The execution of step can be summarized as:

- 1. parse the relevant information from stmt
 - \bullet currentTime
 - \bullet objectName
 - ullet objectId
- 2. resolve previous state (if it exists) given objectId
- 3. update the range of time to include currentTime if not already within the existing interval for objectId
- 4. update the counter tracking the number of times objectId has been in a stmt? passed to step
- 5. add objectName to the set of names associated with objectId if not already a member.

9.6.2 Helper Functions

The following Operations and Primitives are defined for abstracting the functionality of each process within step in order to reduce the noise within RateOfCompletionsStep.

```
ParseCompletionStatement[STATEMENT] \_
GetIn
stmt?: STATEMENT
currentTime:TIMESTAMP
objectName, parseStmt!: KV
objectId: STRING
parseStmt\_: STATEMENT \rightarrowtail KV
parseStmt = \langle getIn\_, associate\_ \rangle^2
currentTime = getIn(stmt?, \langle timestamp \rangle)
objectName = getIn(stmt?, \langle object, definition, name \rangle)
objectId = getIn(stmt?, \langle object, id \rangle)
parseStmt! = parseStmt(stmt?) \bullet
     let \ with Time == associate(\langle \langle \rangle \rangle, currentT, currentTime)
          withName == associate(withTime, objName, objectName)
             = associate(withName, objId, objectId) \Rightarrow
     \langle\!\langle currentT \mapsto currentTime, \, objName \mapsto objectName, \, objId \mapsto objectId \rangle\!\rangle
```

• parse timestamp, object name and object id from stmt?

• look in state? for any previous record of objectId

```
IntervalValGiven[TIMESTAMP, TIMESTAMP(\_ \rightarrow \_)] \_
IsoToUnix
stmt_{ts}, state_{ts}, intervalValGiven! : TIMESTAMP
fn_{pred} : (\_ \rightarrow \_)
fn_{pred}! : \mathbb{N}
intervalValGiven\_ : TIMESTAMP \times TIMESTAMP \times (\_ \rightarrow \_) \rightarrow TIMESTAMP
intervalValGiven = \langle isoToUnix\_, isoToUnix\_, fn_{pred}\_ \rangle
nSeconds_{stmt} = isoToUnix(stmt_{ts})
nSeconds_{state} = isoToUnix(state_{ts})
fn_{pred}! = fn_{pred}(nSeconds_{stmt}, nSeconds_{state})
intervalValGiven! = intervalValGiven(stmt_{ts}, state_{ts}, fn_{pred})
= (stmt_{ts} \iff fn_{pred}! = nSeconds_{stmt}) \vee
(state_{ts} \iff fn_{pred}! = nSeconds_{state})
```

• return $stmt_{ts}$ or $state_{ts}$ based on result of fn_{pred}

```
ReturnIntervalStart[TIMESTAMP,TIMESTAMP] \_\_\_\_\_
IntervalValGiven
stmt_{ts}, state_{ts}, interval_{start} : TIMESTAMP
fn_{\delta}! : \mathbb{N}
fn_{\delta}: \mathbb{N} \times \mathbb{N} \to \mathbb{N}
returnIntervalStart \_ : TIMESTAMP \times TIMESTAMP \to TIMESTAMP
returnIntervalStart = \langle intervalValGiven \_ \rangle
fn_{\delta}! = fn_{\delta}(nSeconds_{stmt}, nSeconds_{state})
= (nSeconds_{stmt} \iff nSeconds_{stmt} \le nSeconds_{state}) \vee
(nSeconds_{state} \iff nSeconds_{stmt} > nSeconds_{state})
interval_{start} = intervalValGiven(stmt_{ts}, state_{ts}, fn_{\delta})
= (stmt_{ts} \iff fn_{\delta}! = nSeconds_{stmt}) \vee
(state_{ts} \iff fn_{\delta}! = nSeconds_{state})
```

• return $stmt_{ts}$ or $state_{ts}$, whichever one is further back in the past.

```
 \begin{aligned} & ReturnIntervalEnd[TIMESTAMP,TIMESTAMP] \_\_\_\_\\ & IntervalValGiven \\ & stmt_{ts}, state_{ts}, interval_{end}: TIMESTAMP \\ & fn_{\delta}!: \mathbb{N} \\ & fn_{\delta}: \mathbb{N} \times \mathbb{N} \to \mathbb{N} \\ & returnIntervalEnd\_: TIMESTAMP \times TIMESTAMP \to TIMESTAMP \\ \hline & returnIntervalEnd = \langle intervalValGiven\_\rangle \\ & fn_{\delta}! = fn_{\delta}(nSeconds_{stmt}, nSeconds_{state}) \\ & = (nSeconds_{stmt} \iff nSeconds_{stmt} \geq nSeconds_{state}) \vee \\ & (nSeconds_{state} \iff nSeconds_{stmt} < nSeconds_{state}) \\ & interval_{end} = intervalValGiven(stmt_{ts}, state_{ts}, fn_{\delta}) \\ & = (stmt_{ts} \iff fn_{\delta}! = nSeconds_{stmt}) \vee \\ & (state_{ts} \iff fn_{\delta}! = nSeconds_{state}) \end{aligned}
```

• return $stmt_{ts}$ or $state_{ts}$, whichever one is later on chronologically

• return an incremented value or 1 otherwise

• add targetName to the end of names? if $targetName \notin names$?

9.6.3 Formal Definition

The schema ProcessCompletionStatement is used to define the core functionality of RateOfCompletionsStep.step using the Primitive replaceAt to produce state!.

```
\Delta ProcessCompletionStatement[STATEMENT, KV] ______
ReplaceAt
ParseCompletionStatement
Resolve Previous Completion State \\
ReturnIntervalStart
ReturnIntervalEnd
ReturnUpdatedCount
ReturnUpdatedNames
stmt?: STATEMENT
state?, state!, state_{objectId}: KV
processStatement\_: STATEMENT \times KV \rightarrowtail KV
processStatement = \langle \langle parseStmt\_, getPreviousState\_ \rangle,
                           \langle returnIntervalStart\_, returnIntervalEnd\_, replaceAt\_ \rangle,
                           \langle returnUpdatedCount\_, replaceAt\_ \rangle,
                           \langle returnUpdatedNames\_, replaceAt\_\rangle \rangle
parsed_{stmt?} = parseStmt(stmt?)
stmt_{timestamp} = get(parsed_{stmt?}, currentT)
stmt_{objName} = get(parsed_{stmt?}, objName)
stmt_{objId} = get(parsed_{stmt?}, objId)
state_{objectId} = getPreviousState(state?, parsed_{stmt?})
interval_{start} = getIn(state_{objectId}, \langle domain, start \rangle)
interval_{end} = getIn(state_{objectId}, \langle domain, end \rangle)
state_{nStmts} = get(state_{objectId}, nStmts)
state_{names} = get(state_{objectId}, names)
interval_{start}! = returnIntervalStart(stmt_{timestamp}, interval_{start})
interval_{end}! = returnIntervalEnd(stmt_{timestamp}, interval_{end})
interval! = \langle \langle start \mapsto interval_{start}!, end \mapsto interval_{end}! \rangle \rangle
nStmts! = returnUpdatedCount(state_{nStmts})
names! = returnUpdatedNames(state_{names}, stmt_{objName})
state! = processStatement(stmt?, state?) \bullet
 let interval_{\delta} == replaceAt(state?, \langle roc, completions, stmt_{objId}, domain \rangle, interval!)
     nStmts_{\delta} == replaceAt(interval_{\delta}, \langle roc, completions, stmt_{objId}, nStmts \rangle, nStmts!)
       = replaceAt(nStmts_{\delta}, \langle roc, completions, stmt_{objId}, names \rangle, names!)
```

• update state! to include a mapping with Key $stmt_{objId}$ or update an existing mapping identified by $stmt_{objId}$

The schema RateOfCompletionsStep introduces the alignment with Algorithm.step such that $\langle body \rangle = processStatement$ as defined by ProcessCompletionStatement.

For each unique $stmt_{objId}$ passed to step, there should be a corresponding mapping in $state_{\langle roc, completions \rangle}$ which looks like

```
stmt_{objId} \mapsto \langle\!\langle domain \mapsto \langle\!\langle (start, interval_{start}!), (end, interval_{end}!) \rangle\!\rangle
nStmts \mapsto nStmts!
names \mapsto names! \rangle\!\rangle
```

9.7 Result

The interval of $interval_{start}!$ to $interval_{end}!$ can be partitioned based on the passed in opt named timeUnit such that for each unique $stmt_{objId}$, the metric n completions per time unit can be calculated and added to $state_{\langle roc, completions, stmt_{objId}, rate\rangle}$.

```
RateOfCompletionsResult[KV, KV]_
Result
RateOf
replaceAt
opt?\,, state?\,, result! \colon KV
result_-: KV \times KV \twoheadrightarrow KV
Result.result = \langle body \rangle
\langle body \rangle \leadsto result = \langle replaceAt\_\rangle^{\#\operatorname{dom}(state_{\langle roc, completions \rangle})}
state! = result(state?, opt?) \bullet
             let \ timeUnit == atKey(opt?, timeUnit) \bullet
      \forall stmt_{objId} \in state_{\langle roc, completions \rangle} \mid \exists_1 state!_{objId} \bullet
                    let nO == getIn(state?, \langle roc, completions, stmt_{objId}, nStmts \rangle)
                          start == getIn(state?, \langle roc, completions, stmt_{obiId}, domain, start \rangle)
                          end = getIn(state?, \langle roc, completions, stmt_{obiId}, domain, end \rangle)
                          rate_{objId} == rateOf(nO, start, end, timeUnit)
             state!_{objId} = replaceAt(state?, \langle roc, completions, stmt_{objId}, rate \rangle, rate_{objId})
      =\sum state!_{objId} \bullet \forall stmt_{objId} \mid getIn(state!, \langle roc, completions, stmt_{objId}, rate \rangle) \in \mathbb{Z}
```

- $state! = \sum state!_{objId}$ is an alternative way to describe the aggregation of all changes made to state?.
- rateOf performs the calculation which is used to update state? with consideration to opt?
- timeUnit will default to day if not specified within opt?

The output of rateOfCompletions is a state! which contains a mapping of following shape for each unique $stmt_{objId}$ passed to rateOfCompletions, each of which can be found at the path $\langle roc, completions, stmt_{objId} \rangle$.

```
stmt_{objId} \mapsto \langle \langle domain \mapsto \langle \langle (start, interval_{start}!), (end, interval_{end}!) \rangle \rangle

nStmts \mapsto nStmts!

names \mapsto names!

rate \mapsto rate_{objId} \rangle \rangle
```

Any mapping within this structure can be used within a corresponding visualization but the core piece of information the visualization should convey is $rate_{objId}$.

9.8 Conclusion

This concludes the first example of a DAVE Algorithm formal definition. The conventions established within this section should be used across all DAVE Algorithm formal definitions in this document. If any aspect of this section requires further explanation or clarification, please post an issue to the DAVE github repo describing the issue or reach out to the Author(s) of this report via some other medium.

Appendix A: Visualization Exemplars

Appendix A includes a typology of data visualizations which may be supported within DAVE workbooks. These visualizations can either be one to one or one to many in regards to the algorithms defined within this document. Future iterations of this document will increasingly include these typologies within the domain-question template exemplars.

Line Charts

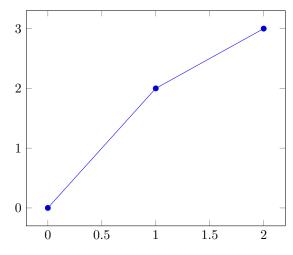


Figure 1: Line Chart

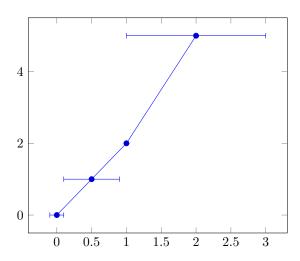


Figure 2: Line Chart with Error

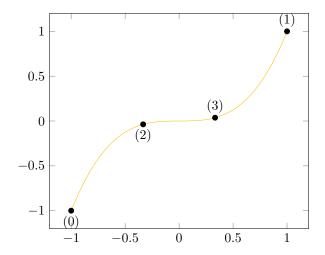


Figure 3: Spline Chart

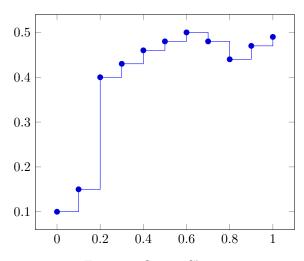


Figure 4: Quiver Chart

Grouping Charts

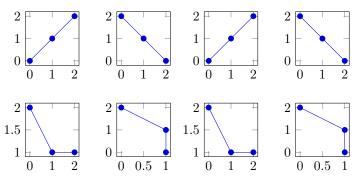


Figure 5: Grouped Line Charts

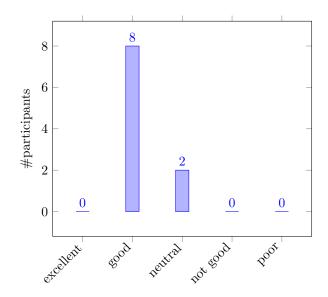


Figure 6: Histogram

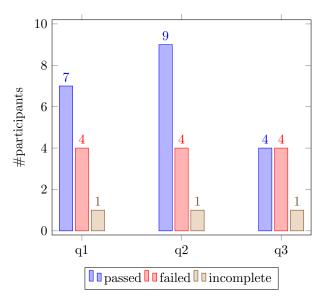


Figure 7: Bar Chart

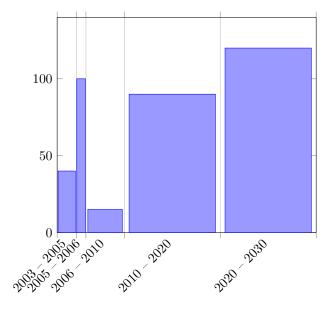


Figure 8: Bar Chart Grouped by Time Range

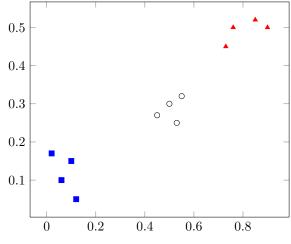


Figure 9: Scatter Plot

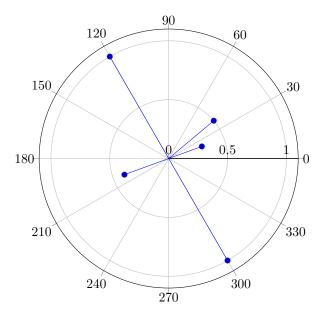


Figure 10: Polar Chart

Specialized Charts

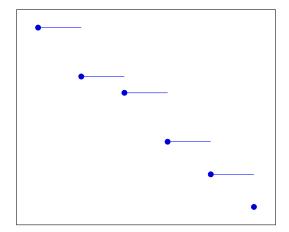


Figure 11: Gantt Chart

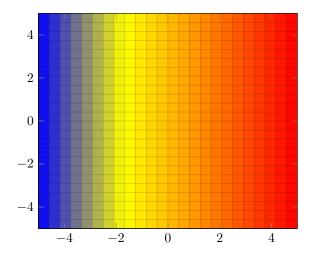


Figure 12: Heat Map

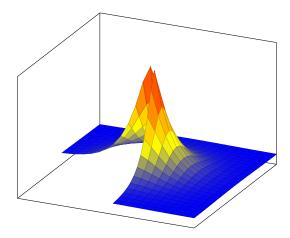


Figure 13: 3D Plot

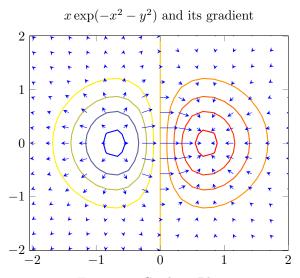


Figure 14: Gradient Plot